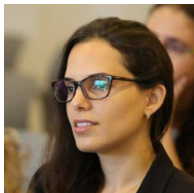


Open Bar: a Brouwerian Intuitionistic Logic with a Pinch of Excluded Middle

Mark Bickford, Liron Cohen, Bob Constable, Vincent Rahli

January 27, 2021

In collaboration with



Liron Cohen

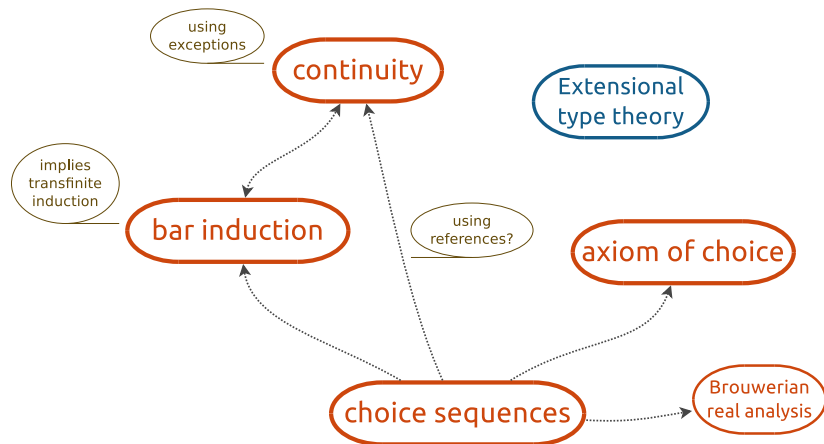


Mark Bickford



Bob Constable

Towards a Brouwerian Intuitionistic Logic



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- ▶ Contradict classical axioms!
- ▶ Enough to validate Bar Induction?

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- ▶ Contradict classical axioms!
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2020: (OpenTT) Relaxed model to validate classical axioms

- ▶ Consistent with classical axioms!
- ▶ Enough to validate Bar Induction?

Starting point: an Extensional Type Theory

Untyped call-by-name
lambda-calculus

Introduction/elimination
deduction rules

realizability semantics

Extensional

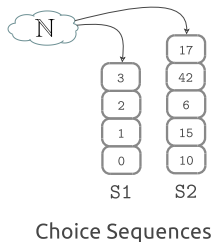
Dependent types

Partial types

Adding Choice Sequences

ETT

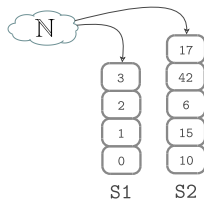
+



Adding Choice Sequences

ETT

+



Choice Sequences

Broader sense of computation

Adding Choice Sequences

lawless (free choice) sequences: no restrictions on the choices
(except for initial segments)

LS₁ (density) $\forall s. \exists \alpha. \alpha \in s$

LS₂ (discreteness) $\forall \alpha, \beta. (\alpha \equiv \beta \vee \neg \alpha \equiv \beta)$

LS₃ (open data) $A(\alpha) \Rightarrow \exists n. \forall \beta. (\bar{\alpha}n = \bar{\beta}n \Rightarrow A(\beta))$

s finite sequence
 α lawless sequence
 $\alpha \in s$ s is an initial segment of α
 \equiv intensional equality
 $\bar{\alpha}n$ the initial segment of α of length n

Adding Choice Sequences

BITT

LS1 $\prod n:\mathbb{N}.\prod f:\mathcal{B}_n.\sum \alpha:\text{Free}.f = \alpha \in \mathcal{B}_n$

LS2 $\prod \alpha, \beta:\text{Free}.\left(\alpha = \beta \in \mathcal{B}\right) + \left(\neg \alpha = \beta \in \mathcal{B}\right)$

LS3 –

\neg LEM $\neg \prod P:\mathbb{P}.\downarrow(P + \neg P)$

\neg MP $\neg \prod P:\mathbb{B}^{\mathbb{N}}.\neg(\prod n:\mathbb{N}.\neg P(n)) \rightarrow \sum n:\mathbb{N}.P(n)$

\neg IP $\neg \prod A:\mathbb{P}.\prod B:\mathbb{P}^{\mathbb{N}}.\left(A \rightarrow \sum n:\mathbb{N}.B(n)\right) \rightarrow \sum n:\mathbb{N}.\left(A \rightarrow B(n)\right)$

\neg LPO $\neg \prod P:\mathbb{B}^{\mathbb{N}}.\left(\sum n:\mathbb{N}.P(n)\right) + \left(\prod n:\mathbb{N}.\neg P(n)\right)$

OpenTT

LS1 $\prod n:\mathbb{N}.\prod f:\mathcal{B}_n.\downarrow \sum \alpha:\text{Free}.f = \alpha \in \mathcal{B}_n$

LS2 $\prod \alpha, \beta:\text{Free}.\left(\alpha = \beta \in \mathcal{B}\right) + \left(\neg \alpha = \beta \in \mathcal{B}\right)$

LS3 $\prod \alpha:\text{Free}.P(\alpha) \rightarrow$

$\sum n:\mathbb{N}_{\downarrow}.\prod \beta:\text{Free}.\left(\alpha = \beta \in \mathcal{B}_{\downarrow n} \rightarrow \downarrow P(\beta)\right)$

LEM $\prod P:\mathbb{P}.\downarrow(P + \neg P)$

(where $\mathcal{B} = \mathbb{N}^{\mathbb{N}}$ and $\mathcal{B}_n = \mathbb{N}^{\mathbb{N}_n}$)
(\downarrow is a “proof erasure” operator)

Syntax & Operational Semantics

Syntax:

$$\begin{aligned} T \in \text{Type} ::= & \mathbb{N} \mid \mathbb{U}_i \mid \prod_{x:t}.t \mid \sum_{x:t}.t \mid \{x:t \mid t\} \\ & \mid t = t \in t \mid t+t \mid \dots \\ & \mid \text{Free (choice sequence type)} \end{aligned}$$
$$\begin{aligned} v \in \text{Value} ::= & T \mid \star \mid \underline{n} \mid \lambda x.t \mid \langle t, t \rangle \mid \text{inl}(t) \mid \text{inr}(t) \mid \dots \\ & \mid \eta \text{ (choice sequence name)} \end{aligned}$$
$$\begin{aligned} t \in \text{Term} ::= & x \mid v \mid t t \mid \text{fix}(t) \mid \text{let } x := t \text{ in } t \\ & \mid \text{case } t \text{ of } \text{inl}(x) \Rightarrow t \mid \text{inr}(y) \Rightarrow t \\ & \mid \text{let } x, y = t \text{ in } t \mid \text{if } t=t \text{ then } t \text{ else } t \mid \dots \end{aligned}$$

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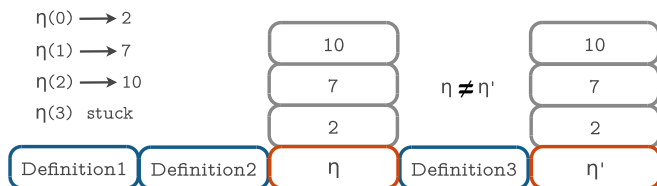
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Operational semantics:

$$\begin{aligned} (\lambda x.t_1) t_2 & \longmapsto t_1[x \setminus t_2] \\ \text{let } x_1, x_2 = \langle t_1, t_2 \rangle \text{ in } t & \longmapsto t[x_1 \setminus t_1; x_2 \setminus t_2] \\ \text{fix}(v) & \longmapsto v \text{ fix}(v) \end{aligned}$$

World-Based Computations

World-dependent operational semantics:

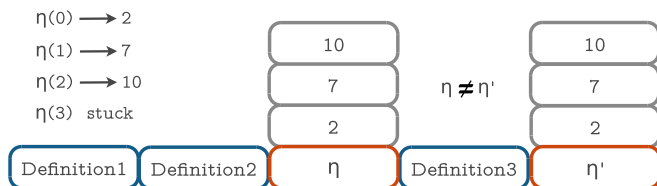


Worlds include:

- ▶ definitions
- ▶ choice sequences

World-Based Computations

World-dependent operational semantics:



Worlds include:

- ▶ definitions
- ▶ choice sequences

Worlds can be extended

- ▶ horizontally
- ▶ vertically
- ▶ $w_2 \succeq w_1$

OpenTT

Standard ETT rules:

$$\frac{\Gamma, x : A \vdash b : B[x] \quad \Gamma \vdash \star : (A \in \mathbb{U}_i)}{\Gamma \vdash \lambda x. b : \prod_{a:A} B[a]} \quad \dots$$

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► How do we validate these rules?

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+ LEM

- ▶ How do we validate these rules?
- ▶ Why is LEM an OpenTT rule, but not a BITT rule?

Realizability semantics

An inductive relation that expresses type equality

$$T_1 \equiv T_2 \quad \text{type}(T) \text{ is } T \equiv T$$

A recursive function that expresses equality in a type

$$a \equiv b \in T$$

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For example (product types):

$$f_1 \equiv f_2 \in \prod x:A. B$$

$$\text{type}((\prod x:A. B)) \wedge$$

$$\forall a_1, a_2. a_1 \equiv a_2 \in A \Rightarrow f_1(a_1) \equiv f_2(a_2) \in B[x \setminus a_1]$$

$$\prod x_1:A_1. B_1 \equiv \prod x_2:A_2. B_2$$

$$A_1 \equiv A_2 \wedge$$

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Enough to validate choice sequence rules?

Going back to BITT for a minute

Why is $\eta \in \mathcal{B}$ valid in BITT?

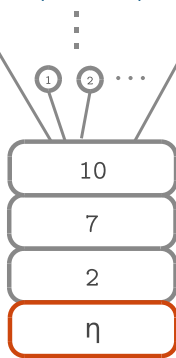
Going back to BITT for a minute

Why is $\eta \in \mathcal{B}$ valid in BITT?

We used a Beth interpretation:

true eventually for all extensions

(at a bar)



Formally:

$\eta \in \mathcal{B}$ is true in world w

\iff

$\forall m : \mathbf{nat}. \exists b : \text{bar}(w). \forall w' \in b.$
 $\eta(m)$ computes to a nat in w'

Going back to BITT for a minute

This model rules out a number of axioms (e.g., LEM)

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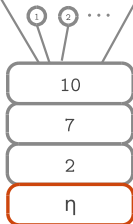
Given a “fresh” (no choices so far) free choice sequence α ,

- ▶ it is not true that $\sum n:\mathbb{N}.\alpha(n) = 1 \in \mathbb{N}$ because there is a path where α is only extended with 0
- ▶ it is not true that $\neg\sum n:\mathbb{N}.\alpha(n) = 1 \in \mathbb{N}$ because there is path where α is extended with 1
 - ▶ The meaning of $\neg T$ is that T is false in all extensions

Any way around this?

Beth model

true eventually for all extensions
(at a bar)

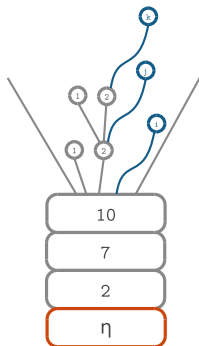


$T@w$



$\exists b \in \text{bar}(w). \forall w_1 \in b.$
 $\forall w_2 \succeq w_1. T@w_2$

Open Bar model



$T@w$



$\forall w_1 \succeq w. \exists w_2 \succeq w_1.$
 $\forall w_3 \succeq w_2. T@w_3$

Open Bar model

$$T@w \iff \forall w_1 \succ w. \exists w_2 \preceq w_1. \forall w_3 \preceq w_2. T@w_3$$

Open Bar model

$$T@w \iff \forall w_1 \succeq w. \exists w_2 \succeq w_1. \forall w_3 \succeq w_2. T@w_3$$

Bears a resemblance to double negation translation:

- ▶ Kripke interpretation of $A \rightarrow B$:

$$\llbracket A \rightarrow B \rrbracket_w = \forall w_1 \succeq w. \llbracket A \rrbracket_{w_1} \Rightarrow \llbracket B \rrbracket_{w_1}$$

Open Bar model

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- ▶ classically equivalent to:

$$\forall w_1 \succeq w. \exists w_2 \succeq w_1. \llbracket A \rrbracket_{w_2}$$

LEM in the Open Bar model

This model still satisfies the choice sequence axioms

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LEM can now be validated using classical reasoning:

$$\overline{\Gamma \vdash \lambda P.\star : \prod P:\mathbb{P}.\downarrow(P+\neg P)}$$

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LEM can now be validated using classical reasoning:

$$\frac{}{\Gamma \vdash \lambda P. \star : \prod P : \mathbb{P}. \downarrow (P + \neg P)}$$

- ▶ Let w_0 be the current world
- ▶ $\forall w_1 \succeq w_0$, using classical reasoning we can assume that
 - ▶ either $\exists w_2 \succeq w_1. P @ w_2$
 - ▶ or $\neg \exists w_2 \succeq w_1. P @ w_2$

Either way we conclude trivially

Choice sequences in the Open Bar model

LS1 (density) is valid

$$\overline{\Gamma \vdash \lambda n, f. \star : \prod n:\mathbb{N}. \prod f:\mathcal{B}_n. \downarrow \Sigma \alpha:\text{Free}. f = \alpha \in \mathcal{B}_n}$$

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LS2 (discreteness) is valid

$$\overline{\Gamma \vdash \lambda \alpha, \beta. _ : \prod \alpha, \beta:\text{Free}. (\alpha = \beta \in \mathcal{B}) + (\neg \alpha = \beta \in \mathcal{B})}$$

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LS3 (Open Data) is valid

$$\overline{\Gamma \vdash \lambda \alpha, p. \star : \prod \alpha:\text{Free}. \\ P(\alpha) \\ \rightarrow \downarrow \Sigma n:\mathbb{N}. \prod \beta:\text{Free}. (\alpha = \beta \in \mathcal{B}_n \rightarrow \downarrow P(\beta))}$$

Open Data in the Open Bar model

$$\prod \alpha: \text{Free}. P(\alpha) \rightarrow \downarrow \sum n: \mathbb{N}. \prod \beta: \text{Free}. (\alpha = \beta \in \mathcal{B}_n \rightarrow \downarrow P(\beta))$$

Why is LS3 (Open Data) valid?

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Why is LS3 (Open Data) valid?

- ▶ no computational content: $\lambda \alpha, p. \star$
- ▶ we assume $P(\alpha)@w$, where w is the current world
- ▶ **within the metatheory** we realize the **modulus of continuity** n with $|w|$ (w 's **depth**)

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- ▶ **within the metatheory we realize the modulus of continuity n with $|w|$ (w 's depth)**
- ▶ we get to assume that α and β have the same choices up to $|w|$ in some $w_1 \succeq w$, and we have to show $P(\beta)@w_1$
- ▶ there must be a world $w_1 \succeq w_0 \succeq w$ such that α and β have exactly the same choices in w_0
- ▶ by **monotonicity**: $P(\alpha)@w_0$
- ▶ we **swap** α and β : $P(\beta)@w_0$
- ▶ by **monotonicity**: $P(\beta)@w_1$

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Can we validate a version with computational content?

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At least:

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- ▶ We add an operator to the language to compute the depth of the current world:

$$t \in \text{Term} ::= \dots \mid w\text{Depth}$$

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- ▶ We add an operator to the language to compute the depth of the current world:

$$t \in \text{Term} ::= \dots \mid \text{wDepth}$$

- ▶ We realize this formula using $\lambda \alpha, p.\langle \text{wDepth}, \dots \rangle$

Open Data in the Open Bar model

2 variants of Open Data:

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Time squashing vs. space squashing:

- ▶ a member of \mathbb{N} computes to the same value in all worlds
- ▶ a member of \mathbb{N}_{\downarrow} can compute to \neq values in \neq worlds

Open Data in the Open Bar model

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- ▶ $\prod \alpha:\text{Free}.P(\alpha) \rightarrow \downarrow \sum n:\mathbb{N}.\prod \beta:\text{Free}.\langle \alpha = \beta \in \mathcal{B}_n \rightarrow \downarrow P(\beta) \rangle$
- ▶ $\prod \alpha:\text{Free}.P(\alpha) \rightarrow \sum n:\mathbb{N}_{\downarrow}.\prod \beta:\text{Free}.\langle \alpha = \beta \in \mathcal{B}_{\downarrow n} \rightarrow \downarrow P(\beta) \rangle$

Time squashing vs. space squashing:

- ▶ a member of \mathbb{N} computes to the same value in all worlds
- ▶ a member of \mathbb{N}_{\downarrow} can compute to \neq values in \neq worlds

Also useful to assign types to references

Next...

- ▶ Can we still validate **Bar Induction** using such choice sequences?

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- ▶ ...