Constructing Unprejudiced Extensional Type Theories with Choices via Modalities

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Abstract

Time-progressing expressions, i.e., expressions that compute to different values over time such as 8 Brouwerian choice sequences or reference cells, are a common feature in many frameworks. For type 9 theories to support such elements, they usually employ sheaf models. In this paper, we provide a 10 general framework in the form of an extensional type theory incorporating various time-progressing 11 12 elements along with a general possible-worlds forcing interpretation parameterized by modalities. The modalities can, in turn, be instantiated with topological spaces of bars, leading to a general 13 sheaf model. This parameterized construction allows us to capture a distinction between theories 14 that are "agnostic", i.e., compatible with classical reasoning in the sense that classical axioms can be 15 validated, and those that are "intuitionistic", i.e., incompatible with classical reasoning in the sense 16 that classical axioms can be proven false. This distinction is made via properties of the modalities 17 selected to model the theory and consequently via the space of bars instantiating the modalities. 18 We further identify a class of time-progressing elements that allows deriving "intuitionistic" theories 19 20 that include not only choice sequences but also simpler operators, namely reference cells.

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²⁶ **1** Introduction

Time-progressing elements are a common feature in many frameworks. These are elements 27 whose value can change over time. Examples include mutable reference cells which are 28 pervasive in programming languages, and free-choice sequences which are key components in 29 logical systems such as Brouwer's intuitionistic logic [26; 3; 40; 41; 28; 43; 32]. A free-choice 30 sequence is a primitive concept of a sequence that is never complete and can always be 31 extended over time, and whose choices are allowed to be made freely, i.e., not generated by a 32 predefined procedure. Capturing the non-deterministic, time-progressing behavior of such 33 elements in a formal setting often relies on sheaf models, which logical formulas can interact 34 with through a forcing interpretation, e.g., [21; 42]. 35

The inclusion of such elements in a logical system has far reaching consequences. In 36 particular, many works have used the existence of choice-sequences to show incompatibility 37 with classical reasoning. For example, Kripke's Schema, which relies on the notion of choice 38 sequences, is inconsistent with Church's Thesis [18, Sec.5]. They have also been used to 39 refute classical results such as "any real number different from 0 is also apart from 0" [24, 40 Ch.8]. Similarly, a weak counterexample of the Law of Excluded Middle (LEM) was provided 41 by defining a choice sequence of numbers in which the value 1 can only be picked once an 42 undecided conjecture has been resolved (proved or disproved), and then by showing that 43 one could resolve this undecided conjecture using LEM [9, Ch.1, Sec.1]. Kripke [30, Sec.1.1] 44 also used choice sequences to refute other classical results, namely Kuroda's conjecture and 45

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⁴⁶ Markov's Principle (MP) in Kreisel's FC system [27]. This technique was later generalized ⁴⁷ using sheaf models [21; 42] to refute classical axioms. For example, in [15] the independence ⁴⁸ of MP with Martin-Löf's type theory was proven using a forcing method where the forcing ⁴⁹ conditions capture the unconstrained nature of free-choice sequences in Kripke's proof. ⁵⁰ However, using a concrete sheaf model, it was shown in [6] that choice sequences can be made ⁵¹ compatible with classical reasoning. This was however done by committing to a particular ⁵² model, disabling the ability to derive "purely" intuitionistic theories.

This paper goes one step further by providing a general framework in the form of an 53 extensional type theory that incorporates a notion of time progression through a Kripke frame, 54 as well as elements that progress over time. The framework uses a general possible-worlds 55 forcing interpretation parameterized by a modality, which, in turn can be instantiated with 56 topological spaces of bars, leading to a general sheaf model. Thus, our generic type theory, 57 denoted by $\mathrm{TT}^{\Box}_{\mathcal{C}}$, is modeled through an abstract modality \Box and is parameterized by a type 58 of time-progressing choice operators \mathcal{C} , which can both be instantiated to derive theories that 59 are either compatible or incompatible with classical logic. $TT_{\mathcal{C}}^{\Box}$'s syntax and operational 60 semantics are presented by first describing its time-independent core in Sec. 2.2, and then its 61 time-progressing components in Sec. 3. In particular, $TT_{\mathcal{C}}^{\Box}$ can be instantiated with different 62 choice operators described in Sec. 3.2. $TT_{\mathcal{C}}^{\Box}$'s inference rules are standard and are presented 63 in Appx. A. They reflect the semantics of the types, which are given meaning through a 64 forcing interpretation [11; 12; 4, Ch.15] parameterized by a modality \Box presented in Sec. 4. 65 We call $TT^{\Box}_{\mathcal{C}}$ an "unprejudiced" type theory since we can tune the parameters to obtain 66

theories that are either "agnostic", i.e., compatible with classical reasoning (in the sense 67 that classical axioms can be validated), or that are "intuitionistic", i.e., incompatible with 68 classical reasoning (in the sense that classical axioms can be proven false). Concretely, we 69 identify classes of choice operators and modalities that are sufficient to derive the negation 70 of classical axioms, as well as classes that are sufficient to validate classical axioms in Sec. 5. 71 We further show that $TT_{\mathcal{C}}^{\Box}$ can be validated w.r.t. standard sheaf models in Sec. 6, which 72 presents classes of sheaf models over topological spaces of bars that are used to instantiate the 73 modalities. We provide examples of classes of bar spaces B and choice operators C that allow 74 proving the consistency of $TT_{\mathcal{C}}^{B}$ with LEM, and classes that allow proving the consistency of 75 $TT_{\mathcal{C}}^{B}$ with the negation of classical axioms such as LEM. In particular, we show that even 76 though choice sequences can be used to validate the negation of classical axioms, they are 77 not necessary, and in fact much simpler choice operators, e.g. mutable references, are enough. 78

79 **2** Background

80 2.1 Metatheory

Our metatheory is Agda's type theory [1]. The results presented in this paper have been 81 formalized in Agda, and the formalization is available here: https://github.com/vrahli/opentt/. 82 We use $\forall, \exists, \land, \lor, \rightarrow, \neg$ in place of Agda's logical connectives in this paper. Agda provides 83 an hierarchy of types annotated with universe labels which we omit for simplicity. Following 84 Agda's terminology, we refer to an Agda type as a set, and reserve the term type for $TT_{\mathcal{L}}^{\mathcal{L}}$'s 85 types. We use \mathbb{P} as the type of sets that denote propositions; \mathbb{N} for the set of natural numbers; 86 and \mathbb{B} for the set of Booleans true and false. We use induction-recursion to define the forcing 87 interpretation in Sec. 4, where we use function extensionality to interpret universes. We do 88 not discuss this further here and the interested reader is referred to forcing.lagda in the Agda 89 code for further details. Classical reasoning is only used once in Lem. 19 to establish the 90 compatibility of instances of $TT_{\mathcal{C}}^{\Box}$ with LEM. 91

Figure 1 Core syntax (above) and small-step operational semantics (below)					
$v \in Value ::= vt$	(type)	$\lambda x.t$	(lambda)	\star (constant)	
\underline{n}	(number)	inl(t)	(left injection)	$ \delta$ (choice name)	
$ \langle t_1, t_1\rangle $	$_{2}\rangle$ (pair)	inr(t)	(right injection	n)	
$vt \in Type ::= \mathbf{\Pi} x:t$	$t_1.t_2$ (product)	$ \{x : t_1 \mid t_2$	$\{$ (set)	$ t_1 + t_2$ (disjoint union)	
$ \Sigma x:t$	$t_1.t_2$ (sum)	$\mid t_1 = t_2 \in t$	(equality)	$ \xi t$ (time truncation)	
$\mid \mathbb{U}_i$	(universe)	Nat	(numbers)		
$t \in Term ::= x$	(variable)	$\mid t_1 \mid t_2$		(application)	
v	(value)	$ \texttt{let } x, y = t_1$	in t_2	(pair destructor)	
$ $ fix(t) (fixpoint) $ $ case t of inl(x) \Rightarrow $t_1 $ inr(y) \Rightarrow t_2 (injection destructor)					
$(\lambda x.t) \ u \mapsto_{\underline{w}} t[x \backslash u]$		let $x, y = \langle t_1, t_2 \rangle$ in $t \mapsto_{w} t[x \setminus t_1; y \setminus t_2]$			
$\texttt{fix}(v) \mapsto_{\texttt{W}} v \texttt{fix}(v)$		$\texttt{case inl}(t) \texttt{ of inl}(x) \Rightarrow t_1 \texttt{ inr}(y) \Rightarrow t_2 \mapsto_{\texttt{W}} t_1[x \backslash t]$			
$\delta(\underline{n}) \mapsto_w \text{choice}?(w, \delta, n)$		case $\operatorname{inr}(t)$ of $\operatorname{inl}(x) \Rightarrow t_1 \operatorname{inr}(y) \Rightarrow t_2 \mapsto_{\underline{w}} t_2[y \setminus t]$			

TT_{C}^{\Box} 's Core Syntax and Operational Semantics 2.2 92

 $TT_{\mathcal{C}}^{\Box}$'s core syntax and operational semantics are presented in Fig. 1, which for presentation 93 purposes also includes the additional components introduced in Sec. 3, highlighted in blue 94 boxes. Fig. 1's upper part presents the syntax of $TT_{\mathcal{C}}^{\Box}$'s core computation system, where x 95 belongs to a set of variables Var. For simplicity, numbers are considered to be primitive. The 96 constant \star is there for convenience, and is used in place of a term, when the particular term 97 used is irrelevant. Terms are evaluated according to the operational semantics presented in 98 Fig. 1's lower part. In what follows, we use all letters as metavariables for terms. Let $t[x \setminus u]$ 99 stand for the capture-avoiding substitution of all the free occurrences of x in t by u. 100

Types are syntactic forms that are given semantics in Sec. 4 via a forcing interpretation. 101 The type system contains standard types such as dependent products of the form $\Pi x: t_1, t_2$ 102 and dependent sums of the form $\Sigma x: t_1.t_2$. For convenience we write $t_1 \rightarrow t_2$ for the non-103 dependent Π type; True for $\underline{0}=\underline{0}\in\mathsf{Nat}$; False for $\underline{0}=\underline{1}\in\mathsf{Nat}$; $\neg T$ for $(T \rightarrow \mathsf{False})$; Bool for 104 True+True; tt for in1(\star); ff for inr(\star); and $\uparrow(t)$ for t=tt∈Bool (a Bool to type coercion). 105

Our computation system includes a *space-squashing* mechanism, which we use (among 106 other things) to validate some of the axioms in Secs. 5.1 and 5.2. It erases the evidence that a 107 type is inhabited by truncating it to a subsingleton type using set types: $\downarrow T := \{x : \text{True} \mid T\}$. 108 While True is a contractible type (because equality types are subsingleton types — see Sec. 4), 109 $\downarrow T$ is either empty or inhabited by all (closed) terms in Term, and all its inhabitants are 110 equal to each other. Therefore, $\downarrow T$ is inhabited iff T is inhabited. 111

Fig. 1's lower part presents $TT_{\mathcal{C}}^{\Box}$'s core small-step operational semantics, where $t_1 \mapsto t_2$ 112 expresses that the term t_1 reduces to t_2 in one computation step. We omit the congruence 113 rules that allow computing within terms such as: if $t_1 \mapsto t_2$ then $t_1(u) \mapsto t_2(u)$. We denote 114 by \Downarrow the reflexive transitive closure of \mapsto , i.e., $a \Downarrow b$ states that a computes to b in ≥ 0 steps. 115

TT_{C}^{\Box} 's Time-Progressing Choice Operators 3 116

In addition to the core described in Sec. 2.2, $TT_{\mathcal{C}}^{\Box}$ includes time-progressing notions which 117 we now describe. We capture these notions via the concept of worlds (Sec. 3.1). Then, we 118 provide a formal, abstract definition of choice operators and add corresponding components to 119 the core system (Sec. 3.2). These time-progressing choice operators cover standard operators 120 such as Brouwerian choice sequences or references (Sec. 3.2.1). We further enrich our system 121 with a notion of time-truncation, used to capture time-sensitive expressions (Sec. 3.3). 122

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123 3.1 Worlds

¹²⁴ To capture the time progression notion, the core computation system presented in Sec. 2.2 is ¹²⁵ parameterized by a Kripke frame [31; 30] defined as follows:

▶ Definition 1 (Kripke Frame). A Kripke frame consists of a set of worlds W equipped with a reflexive and transitive binary relation \subseteq .

Let w range over \mathcal{W} . We sometimes write $w' \supseteq w$ for $w \sqsubseteq w'$. Let \mathcal{P}_w be the collection of predicates on world extensions, i.e., functions in $\forall w' \supseteq w.\mathbb{P}$. Note that due to \sqsubseteq 's transitivity, if $P \in \mathcal{P}_w$ then for every $w' \supseteq w$ it naturally extends to a predicate in $\mathcal{P}_{w'}$. We further define the following notations for quantifiers. $\forall_w^{\sqsubseteq}(P)$ states that $P \in \mathcal{P}_w$ is true for all extensions of w, i.e., P w' holds in all worlds $w' \supseteq w$. $\exists_w^{\sqsubseteq}(P)$ states that $P \in \mathcal{P}_w$ is true at an extension of w, i.e., P w' holds for some world $w' \supseteq w$. For readability, we sometime write $\forall_w^{\sqsubseteq}(w'.P)$ (or $\exists_w^{\sqsubseteq}(w'.P)$) instead of $\forall_w^{\sqsubseteq}(\lambda w'.P)$ (or $\exists_w^{\sqsubseteq}(\lambda w'.P)$), respectively.

The operational semantics is parameterized by a frame in the sense that the relation $t_1 \mapsto t_2$ is generalized to a ternary relation between two terms and a world, $t_1 \mapsto_w t_2$, which expresses that t_1 reduces to t_2 in one step of computation w.r.t. the world w. Similarly, $u \Downarrow_w b$ generalizes $a \Downarrow b$. We also write $a \Downarrow_w b$ if a computes to b in all extensions of w, i.e., if $\forall_w^{\Xi}(w'.a \Downarrow_{w'} b)$. We write \sim_w for the symmetric and transitive closure of \Downarrow_w .

¹⁴⁰ 3.2 Time-Progressing Choice Operators

This section introduces the general notion of time-progressing choices into our system. We 141 rely on worlds to record choices and provide operators to access the choices stored in a world. 142 Choices are referred to through their names. A concrete example of such choices are reference 143 cells in programming languages, where a variable name pointing to a reference cell is the 144 name of the corresponding reference cell. To introduce an abstract notion of such choice 145 operators, we assume our computation system contains a set \mathcal{N} of *choice names*, that is 146 equipped with a decidable equality, and an operator that given a list of names, returns a 147 name not in the list. This can be given by, e.g., nominal sets [39]. In what follows we let δ 148 range over \mathcal{N} , and take \mathcal{N} to be \mathbb{N} for simplicity. We introduce further abstract operators 149 and properties in Defs. 2, 4, 8, 10–12, 14, 15, and 18 which our framework is parameterized 150 over, and which we show how to instantiate in Exs. 5, 6, 13, 26, 27, and 29 below. Definitions 151 such as Def. 2 provide axiomatizations of operators, and in addition informally indicate their 152 intended use. Choices are defined abstractly as follows: 153

▶ Definition 2 (Choices). Let $C \subseteq$ Term be a set of choices,¹ and let κ range over C. We say that a computation system contains $\langle \mathcal{N}, C \rangle$ -choices if there exists a partial function choice? $\in \mathcal{W} \to \mathcal{N} \to \mathbb{N} \to C$. Given $w \in \mathcal{W}, \delta \in \mathcal{N}, n \in \mathbb{N}$, the returned choice, if it exists, is meant to be the n^{th} choice made for δ according to w. C is said to be non-trivial if it contains two values κ_0 and κ_1 , which are computationally different, i.e., such that $\neg(\kappa_0 \sim_w \kappa_1)$ for all w.

Thus, to introduce choices into the computation system, we extend the core computation system with a new kind of value for a choice name δ (as shown in Fig. 1) that can be used to access choices from a world. To facilitate making use of choices extracted from worlds and computing with them, the operational semantics is also extended with the following

¹ To guarantee that $\mathcal{C} \subseteq \text{Term}$, one can for example extend the syntax to include a designated constructor for choices, or require a coercion $\mathcal{C} \rightarrow \text{Term}$. We opted for the latter in our formalization.

clause: $\delta(\underline{n}) \mapsto_{w}$ choice? (w, δ, n) (as shown in Fig. 1). This allows applying a choice name δ to a number \underline{n} to get a choice from the current world w. Note that the N component in this definition enables providing a general notion of choice operators. In some cases, e.g. the case for free-choice sequences, the history is recorded and so the notion of an n's choice is extracted from the history of the choice element. In simpler choice concepts, e.g. references, one only maintains the latest update and so the N component becomes moot.²

We next introduce the notion of a *restriction*, which allows assuming that the choices made for a given choice name all satisfy a pre-defined constraint.

¹⁷² ▶ **Definition 3** (Restrictions). A restriction $r \in \text{Res}$ is a pair (res, d) consisting of a function ¹⁷³ res ∈ $\mathbb{N} \to C \to \mathbb{P}$ and a default choice $d \in C$, such that $\forall (n : \mathbb{N}).(\text{res } n \ d)$ holds. Given such ¹⁷⁴ a pair r, we write r_{d} for d; (r n κ) for (res n κ); and r(κ) for $\forall (n : \mathbb{N}).r n \kappa$.

Intuitively, res specifies a restriction on the choices that can be made at any point in time and d provides a default choice that meets this restriction (e.g., for reference cells, this default choice is used to initialize a cell). For example, the restriction $\langle \lambda n.\lambda\kappa.\kappa \in \mathbb{N}, 0 \rangle$ requires choices to be numbers and provides 0 as a default value. To reason about restrictions, we require the existence of a "compatibility" predicate as follows.

¹⁸⁰ ▶ Definition 4. We further assume the existence of a predicate compatible ∈ $\mathcal{N} \to \mathcal{W} \to$ ¹⁸¹ Res → \mathbb{P} , intended to guarantee that restrictions are satisfied, and which is preserved by \sqsubseteq : ¹⁸² $\forall (\delta : \mathcal{N})(w_1, w_2 : \mathcal{W})(r : \text{Res}).w_1 \sqsubseteq w_2 \to \text{compatible}(\delta, w_1, r) \to \text{compatible}(\delta, w_2, r).$

3.2.1 Standard Examples of Choice Operators

The abstract notion of choice operators has many concrete instances. This section provides a high-level description of two such instances: a theoretically-oriented one, based on the notion of free-choice sequences, and a programming-oriented one, based on mutable references.

Example 5 (Free-Choice Sequences). Free choices are fundamental objects introduced by 187 Brouwer [10] that lay at the heart of intuitionistic mathematics. They are there described as 188 "new mathematical entities... in the form of infinitely proceeding sequences, whose terms are 189 chosen more or less freely from mathematical entities previously acquired". Thus, free-choice 190 sequences are never-finished sequences of objects created over time by continuously picking 191 elements from a previously well-defined collection, e.g., the natural numbers. Even though 192 free-choice sequences are ever proceeding, at any point in time the sequence of choices made 193 so far is finite. Therefore, the current state of a choice sequence can be implemented as a list 194 of choices. We use worlds to capture the state of all the choice sequences started so far, and 195 the \sqsubseteq relation on worlds captures the fact that an extension of a world can contain additional 196 choices. In that respect, a choice sequence can be seen as a reference cell that maintains the 197 complete history of values that were stored in the cell. Formally, we define choice sequences 198 of terms, Fcs, as follows (see worldInstanceCS.lagda for details): 199

Non-Trivial Choices Let $\mathcal{N} \coloneqq \mathbb{N}$ and $\mathcal{C} \coloneqq$ Term, which is non-trivial, e.g., take $\kappa_0 \coloneqq \underline{0}$ and $\kappa_1 \coloneqq \underline{1}$. Other examples of \mathcal{C} s that would be suitable for the results presented in this paper are \mathbb{N} , with $\kappa_0 \coloneqq 0$ and $\kappa_1 \coloneqq 1$ (which can be mapped to the terms $\underline{0}$ and $\underline{1}$); or \mathbb{B} with $\kappa_0 \coloneqq$ true and $\kappa_1 \coloneqq$ false (which can be mapped to the terms tt and ff).

² Technically, this can be captured by instantiating C with a function type from \mathbb{N} when records are kept. For simplicity, we here opt to make \mathbb{N} explicit.

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Worlds Worlds are instantiated as lists of entries, where an entry is either (1) a pair of a 204 choice name and a restriction, indicating the creation of a choice sequence; or (2) a pair 205 of a choice name δ and a choice κ indicating the extension of the choice sequence δ with 206 the new choice κ . \sqsubseteq is the reflexive transitive closure of these extension operations. Given 207 an entry list w and a name δ , the state of the choice sequence δ in w is then the list 208 of extensions made to δ starting from the point δ was created in w, which allows us to 209 define choice? by looking up the n^{th} choice in that list. This enables starting multiple 210 choice sequences in parallel, which is crucial in the proof of Lem. 16. 211

Compatibility compatible(δ , w, r) states that a choice sequence named δ with restriction rwas started in the world w (using the first kind of entry described above), and that all the choices made for δ in w satisfy r.

Example 6 (References). Reference cells, which are values that allow a program to indirectly 215 access a particular object, are also choice operators since they can be pointed to different 216 objects over their lifetime. As opposed to a choice sequence, with a reference cell, the history 217 of previous choices is not kept, and the old recorded value is discarded when a new value is 218 stored in a reference cell. In this paper, we will make use of a particular class of reference 219 cell, that are mutable, but can be made immutable at any given point, i.e., the reference cell 220 can be "frozen" so that new values cannot be stored anymore. Formally, we define references 221 to terms, Ref. as follows (see worldInstanceRef.lagda for details): 222

Non-trivial Choices \mathcal{N} and \mathcal{C} are defined as for free-choice sequences.

Worlds Worlds are lists of cells, where a cell is a quadruple of (1) a choice name, (2) a restriction, (3) a choice, and (4) a Boolean indicating whether the cell is mutable. \equiv is the reflexive transitive closure of two operations that allow (1) creating a new reference cell, and (2) updating an existing reference cell. We define choice? (w, δ, n) so that it simply accesses the content of the δ cell in w, irrespective of what n is. Again, this allows for maintaining multiple reference cells, which is crucial in the proof of Lem. 16.

Compatibility compatible(δ, w, r) states that a reference cell named δ with restriction r was created in the world w (using the first kind of operation described above), and that the current value of the cell satisfies r.

233 3.3 Time-Truncation

While some computations are *time-invariant*, in the sense that they compute to the same 234 value at any point in time, others, such as references, are *time-sensitive*. These two kinds 235 of computations have different properties, e.g., a time-invariant term t that computes to a 236 number n in a world w, will compute to n in all $w' \supseteq w$. However, if t is a time-sensitive 237 number, t might compute to numbers different from n in extensions of w, e.g., n+1 in $w' \supseteq w$ 238 and n+2 in $w'' \equiv w'$. To capture this distinction at the level of types, we further enrich TT_{C}^{C} 239 by a time-truncation operator \S . The type $\S T$ contains T's members as well as the terms 240 that behave like members of T at a particular point in time, i.e., in a particular world. 241

In this paper, we make use in particular of the type \$Nat, which as opposed to Nat, is not 242 required to only be inhabited by time-invariant terms, and allows for terms to compute to 243 different numbers in different world extensions. For example, \$Nat is allowed to be inhabited 244 by a term t that computes to 3 in some world w, and to 4 in $w' \supseteq w$. A reference cell 245 that holds numbers is then essentially of type \$Nat but not of type Nat, as its content can 246 change over time. This distinction between Nat and \$Nat will be critical when validating the 247 negation of classical axioms in Sec. 5.1, where we make use of time-sensitive references (in 248 particular in Ex. 13). Note that as we only need a type with two different inhabitants, we 249

could have equally used \$Bool, whose inhabitants compute to either tt or ff in a given world,
 but might compute to different Booleans in different extensions.

²⁵² **4** The Modality-based Forcing Interpretation

Now that we have defined $\operatorname{TT}_{\mathcal{C}}^{\Box}$'s computation system that includes choice operators, we provide a semantic for it. $\operatorname{TT}_{\mathcal{C}}^{\Box}$ is interpreted via a forcing interpretation in which the forcing conditions are worlds. This interpretation is defined using induction-recursion as follows: (1) the inductive relation $w \models T_1 \equiv T_2$ expresses type equality in the world w; (2) the recursive function $w \models t_1 \equiv t_2 \in T$ expresses equality in a type. We further use the following abstractions: $w \models \operatorname{type}(T)$ for $w \models T \equiv T$, $w \models t \in T$ for $w \models t \equiv t \in T$, and $w \models T$ for $\exists (t : \operatorname{Term}).w \models t \in T$.

This forcing interpretation is parameterized by a family of abstract modalities \Box , which 259 we sometimes refer to simply as a modality, which is a function that takes a world w to 260 its modality $\Box_w \in \mathcal{P}_w \to \mathbb{P}$. We often write $\Box_w(w'.P)$ for $\Box_w \lambda w'.P$. To guarantee that this 261 interpretation yields a standard type system in the sense of Thm. 9, we require in Def. 8. that 262 the modalities satisfy certain properties reminiscent of standard modal axiom schemata [17]. 263 The inductive relation $w \models T_1 \equiv T_2$ has one constructor per type plus one additional 264 constructor expressing when two types are equal in a world w using the \Box_w modality. 265 Consequently, the recursive function $w \models t_1 \equiv t_2 \in T$ has as many cases as there are constructors 266 for $w \models T \equiv T'$, requiring a dependent version \square_w^i of \square_w to recurse over *i*, which is a proof 267 that T is given meaning using the \square_w modality. Indeed, technically, \square induces two abstract 268 modalities for a world w: the modality $\Box_w \in \mathcal{P}_w \to \mathbb{P}$, and a dependent version \Box_w^i , where 269 $P \in \mathcal{P}_w \to \mathbb{P}$ and $i \in \square_w P$. However, to avoid the technical details involved with the 270 dependent modality \square_{w}^{i} , we opt here for a slightly informal presentation where we slid the 271

technical details concerning the dependent modality to Appx. B.

▶ Definition 7 (Forcing interpretation). Given modality □, the forcing interpretation of TT_{C}^{\Box} is given in Fig. 2. There, we write R^{\dagger} for R's transitive closure, and $\operatorname{Fam}_{w}(A_{1}, A_{2}, B_{1}, B_{2})$ for $w \models A_{1} \equiv A_{2} \land \forall_{w}^{\Xi}(w'. \forall (a_{1}, a_{2} : \operatorname{Term}).w' \models a_{1} \equiv a_{2} \in A_{1} \rightarrow w' \models B_{1}[x \setminus a_{1}] \equiv B_{2}[x \setminus a_{2}]).^{3}$

There are some standard properties expected for a semantics such as this forcing interpretation to constitute a type system [2; 16]. These include the monotonocity and locality properties expected for a possible-world semantics [44; 20; 19, Sec.5.4] (here monotonicity refers to types, and not to computations). In order to obtain a type system satisfying such standard, useful properties, we must impose some conditions on the modality. Thus, we next identify a set of conditions for the underlying modality that is sufficient for proving these type system properties.

Definition 8 (Equality modality). The modality \square is called an equality modality if it satisfies the following properties:

- 285 $\Box_1 \text{ (monotonicity of } \Box): \forall (w: \mathcal{W})(P: \mathcal{P}_w). \forall w' \supseteq w. \Box_w P \to \Box_{w'} P.$
- $= \Box_2 (K, \text{ distribution axiom}): \forall (w: \mathcal{W})(P, Q: \mathcal{P}_w). \Box_w (w'.P \ w' \to Q \ w') \to \Box_w P \to \Box_w Q$

- 288 $\blacksquare \Box_4 : \forall (w : \mathcal{W})(P : \mathcal{P}_w) . \forall_w^{\sqsubseteq}(P) \to \Box_w P$
- 289 \Box_5 (*T*, reflexivity axiom): $\forall (w: \mathcal{W})(P:\mathbb{P}). \Box_w (w'.P) \rightarrow P$

As detailed in Appx. B, we further require that the dependent modality \square satisfies similar properties to the ones listed above, as well as properties relating the two modalities.

³ For readability, we adopt a slightly different presentation here compared to the Agda formalization. See Appx. B for a faithful presentation, which in addition covers universes.

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Figure 2 Forcing Interpretation Numbers: $w \models \mathsf{Nat}_{\equiv}\mathsf{Nat} \iff \mathsf{True}$ $= w \vDash t_{\equiv t}' \in \mathsf{Nat} \iff \Box_w(w' : \exists (n : \mathbb{N}) : t \Downarrow_{w'} n \land t' \Downarrow_{w'} n)$ **Products:** $= w \models \Pi x: A_1.B_1 \equiv \Pi x: A_2.B_2 \iff \mathsf{Fam}_w(A_1, A_2, B_1, B_2)$ $= w \models f \equiv g \in \Pi x : A.B \iff \Box_w(w'. \forall (a_1, a_2 : \mathsf{Term}). w' \models a_1 \equiv a_2 \in A \to w' \models f \ a_1 \equiv g \ a_2 \in B[x \setminus a_1])$ Sums: $w \models \Sigma x: A_1.B_1 \equiv \Sigma x: A_2.B_2 \iff \mathsf{Fam}_w(A_1, A_2, B_1, B_2)$ $= w \models p_1 \equiv p_2 \in \Sigma x: A.B \iff \Box_w (w'. \exists (a_1, a_2, b_1, b_2 : \mathsf{Term}). w' \models a_1 \equiv a_2 \in A \land w' \models b_1 \equiv b_2 \in B[x \setminus a_1] \land w' \models b_1 = b_2 \in B[x \setminus a_1] \land w' \models b_2 \in B[x \setminus a_1] \land b_2 \in B[x \setminus a_1] \land b_2 \in B[x \cap a_1] \land$ $p_1 \Downarrow_{w'} \langle a_1, b_1 \rangle \wedge p_2 \Downarrow_{w'} \langle a_2, b_2 \rangle)$ Sets: $w \models \{x : A_1 \mid B_1\} = \{x : A_2 \mid B_2\} \iff \mathsf{Fam}_w(A_1, A_2, B_1, B_2)$ $= w \models a_1 \equiv a_2 \in \{x : A \mid B\} \iff \Box_w(w' : \exists (b_1, b_2 : \mathsf{Term}) : w' \models a_1 \equiv a_2 \in A \land w' \models b_1 \equiv b_2 \in B[x \setminus a_1])$ Disioint unions: $= w \models A_1 + B_1 \equiv A_2 + B_2 \iff w \models A_1 \equiv A_2 \land w \models B_1 \equiv B_2$ $= w \models a_1 \equiv a_2 \in A + B \iff \Box_w (w'. \exists (u, v : \mathsf{Term}). (a_1 \Downarrow_{w'} \mathsf{inl}(u) \land a_2 \Downarrow_{w'} \mathsf{inl}(v) \land w' \models u \equiv v \in A) \lor$ $(a_1 \Downarrow_{w'} \operatorname{inr}(u) \land a_2 \Downarrow_{w'} \operatorname{inr}(v) \land w' \vDash u \equiv v \in B))$ **Equalities:** $= w \models (a_1 = b_1 \in A) \equiv (a_2 = b_2 \in B) \iff w \models A \equiv B \land \forall_w^{\subseteq} (w'.w' \models a_1 \equiv a_2 \in A) \land \forall_w^{\subseteq} (w'.w' \models b_1 \equiv b_2 \in B)$ $= w \models a_1 \equiv a_2 \in (a = b \in A) \iff \Box_m(w'.w' \models a \equiv b \in A)$ (note that a_1 and a_2 can be any term here) **Time-Quotiented types:** $= w \models \$A = \$B \iff w \models A = B$ $= w \vDash a = b \in A \iff \Box_w(w'.(\lambda a, b. \exists (c, d: \forall a | u).a \sim_w c \land b \sim_w d \land w \vDash c = d \in A)^+ a b)$ Modality closure: $= w \models T_1 \equiv T_2 \iff \Box_w(w' : \exists (T_1', T_2' : \mathsf{Term}) : T_1 \Downarrow_{w'} T_1' \land T_2 \Downarrow_{w'} T_2' \land w' \models T_1' \equiv T_2')$ $= w \vDash t_1 \equiv t_2 \in T \iff \Box_w(w'. \exists (T' : \mathsf{Term}).T \Downarrow_{w'} T' \land w' \vDash t_1 \equiv t_2 \in T')$

▶ **Theorem 9.** Given a computation system with choices C and an equality modality \Box , TT_C^{\Box} is a standard type system in the sense that its forcing interpretation induced by \Box satisfy the following properties (where free variables are universally quantified):

 $w \vDash T_1 { { } { = } } T_2 \to w \vDash T_2 { { } { } { { } { } { } } T_3 } \to w \vDash T_1 { { } { } { } { } T_3 } \quad w \vDash t_1 { { } { } { } { } { } t_2 } { { } { } { } { } { } T } \to w \vDash t_2 { } { { } { } { } { } { } t_3 } { } { } { } { } { } { } T \to w \vDash t_1 { } { } { } { } { } { } { } { } { } T$ transitivity: $w \vDash T_1 {\scriptscriptstyle \equiv} T_2 \to w \vDash T_2 {\scriptscriptstyle \equiv} T_1$ $w \vDash t_1 {\scriptstyle \equiv} t_2 { \in } T \to w \vDash t_2 {\scriptstyle \equiv} t_1 { \in } T$ symmetry: $w \vDash T \equiv T \to T \Downarrow_w T' \to w \vDash T \equiv T'$ $w \vDash t \equiv t \in T \to t \Downarrow_w t' \to w \vDash t \equiv t' \in T$ *computation:* $w \models T_1 \equiv T_2 \to w \sqsubseteq w' \to w' \models T_1 \equiv T_2$ $w \vDash t_1 \equiv t_2 \in T \to w \sqsubseteq w' \to w' \vDash t_1 \equiv t_2 \in T$ monotonicity: locality: $\Box_w(w'.w' \vDash T_1 \equiv T_2) \to w \vDash T_1 \equiv T_2$ $\Box_w(w'.w' \vDash t_1 \equiv t_2 \in T) \to w \vDash t_1 \equiv t_2 \in T$ consistency: $\neg w \vDash t \in False$

Proof. The proof relies on the properties of the equality modality. For example: \Box_1 is used to prove monotonicity when $w \models T_1 \equiv T_2$ is derived by closing under \Box_w ; \Box_2 and \Box_4 are used, e.g., to prove the symmetry and transitivity of $w \models t \equiv t' \in Nat$; \Box_3 is used to prove locality; and \Box_5 is used to prove consistency. See props3.lagda for further details.

²⁹⁶ **5** Compatibility with Classical Axioms

To study the compatibility of $TT_{\mathcal{C}}^{\Box}$ with classical reasoning, this section identifies two subclasses of the family of type theories $TT_{\mathcal{C}}^{\Box}$, specified through conditions on the choices and modalities. Sec. 5.1 provides conditions that are sufficient to derive the negation of classical axioms such as LEM, while Sec. 5.2 provides conditions that are sufficient to derive LEM. We further give concrete instantiations for such choices and modalities (the modalities are instantiated only in Sec. 6.2 based on the notion of bars).

303 5.1 Intuitionistic Theories

This section identifies a set of general properties of choices and modalities that enables proving the negation of classical axioms such as LEM. We call theories based on such choices and modalities "intuitionistic", in the sense that they are incompatible with classical reasoning.

The proof of the negation of classical axioms provided below (Cor. 17) captures intuition-307 istic counterexamples [24; 9] abstractly. Briefly, we prove that, given a non-trivial choice 308 structure, (A) if the only choice made so far is κ_0 , then it is not possible to decide whether 309 κ_1 will ever be made. More precisely, we prove that: (B) it is not the case that κ_1 will be 310 made because there are extensions where it won't; and (C) it is not the case that κ_1 is not 311 made in all extensions because there are extensions where it is made. To capture this, we 312 require some additional properties from the underlying choices and modalities. To ensure 313 that (A) holds, we introduce an *extendability* property in Def. 10, which allows creating a 314 fresh choice name δ and a world w where the only choice made for δ in w is κ_0 . (B) is proved 315 thanks to the properties introduced in Defs. 14 and 15, which guarantee the existence of 316 an extension where the n^{th} choice made for δ is κ_0 , for any $n \in \mathbb{N}$. (C) is proved using the 317 *immutability* property in Def. 11, which allows exhibiting a world where κ_1 is made. 318

▶ Definition 10 (Extendability). We say that C is extendable if there exists a function $\nu C \in W \rightarrow N$, where $\nu C(w)$ is intended to return a new choice name not present in w, and a function start $\nu C \in W \rightarrow \text{Res} \rightarrow W$, where start $\nu C(w, r)$ is intended to return an extension of w with the new choice name $\nu C(w)$ with restriction r, satisfying the following properties:

Starting a new choice extends the current world: $\forall (w : W)(r : \text{Res}).w \subseteq \text{start}\nu C(w, r)$

³²⁴ Initially, the only possible choice is the default value of the given restriction, i.e.:

 $\forall (n:\mathbb{N})(r:\mathsf{Res})(w:\mathcal{W})(\kappa:\mathcal{C}).\mathsf{choice}?(\mathsf{start}\nu\mathcal{C}(w,r),\nu\mathcal{C}(w),n) = \kappa \to \kappa = r_{\mathsf{d}}$

- A choice is initially compatible with its restriction:
- 327 $\forall (w: \mathcal{W})(r: \text{Res}).\text{compatible}(\nu \mathcal{C}(w), \text{start}\nu \mathcal{C}(w, r), r)$

If only one choice κ was made so far for a name δ , then to prove (C) above we exhibit an 328 extension where another choice κ' is made. Thus, we require a way to make a choice $\kappa' \neq \kappa$. 329 as well as a way to make κ' immutable in the sense that no other choice than κ' can be made 330 in the future. This is necessary because $TT_{\mathcal{C}}^{\Box}$ is a monotonic theory (see Lem. 16's proof). 331 Consequently, we further rely on the ability to, at any point in time, be able to constrain the 332 choices to be the same forever. This does not prevent making different choice before a choice 333 is made immutable, and the ability to make different choices over time is indeed necessary as 334 we just highlighted. To capture this, we define the immutability property. 335

Definition 11 (Immutability). We say that C is immutable if there exist a function freeze ∈ N → C → W → W (where freeze(δ, κ, w) is intended to return a world w' that extends the world w with the choice κ for the choice name δ, and such that κ can be retrieved in any extension of w'), and a predicate mutable ∈ N → W → P (intended to hold iff the choice name is mutable in the world, i.e., different choices can be made), satisfying the following properties:

- 342 Making an immutable choice extends the current world:
- $\forall (\delta: \mathcal{N})(w: \mathcal{W})(\kappa: \mathcal{C})(r: \mathsf{Res}).\mathsf{compatible}(\delta, w, r) \to r(\kappa) \to w \sqsubseteq \mathsf{freeze}(\delta, \kappa, w)$
- A choice is initially mutable: $\forall (w : W)(r : \text{Res}).$ mutable($\nu C(w), \text{start} \nu C(w, r)$)
- $= Immutable \ choices \ stay \ immutable: \ \forall (\delta : \mathcal{N})(w : \mathcal{W})(\kappa : \mathcal{C})(r : \text{Res}). \text{compatible}(\delta, w, r) \rightarrow$ $= \text{mutable}(\delta, w) \rightarrow \exists (n : \mathbb{N}). \forall_{\text{freeze}(\delta, \kappa, w)}^{\text{E}}(w', \delta, n) = \kappa)$

In addition, to state properties about non-trivial choices within $TT_{\mathcal{C}}^{\Box}$, such as the fact that it is not always decidable whether a choice will be made in the future (see Σ choice in Lem. 16), we assume the existence of a term (\in Term) denoting a type that contains the two distinct choices κ_0 and κ_1 , capturing Def. 2 at the level of the theory $TT_{\mathcal{C}}^{\Box}$.

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- **Definition 12** (Reflection). We say that C is reflected if there exists a term Type $C \in$ Term such that the following hold for all worlds w:
- ³⁵³ TypeC is a type inhabited by κ_0 and κ_1 : $w \models type(TypeC)$, $w \models \kappa_0 \in TypeC$, $w \models \kappa_1 \in TypeC$.
- The choices that inhabit TypeC are related w.r.t. $\sim: \forall (w: \mathcal{W})(a, b: \text{Term}).w \vDash a \equiv b \in \text{TypeC} \rightarrow \Box_w(w'. \forall_{w'}^{\exists}(w''. \forall (\kappa_1, \kappa_2: C).a \Downarrow_{w''} \kappa_1 \rightarrow b \Downarrow_{w''} \kappa_2 \rightarrow \kappa_1 \sim_{w''} \kappa_2))$
- = Choices obtained from worlds that compute to either κ_0 or κ_1 inhabit TypeC: $\forall (w : W)(n : W)$
- $\mathbb{N}(\delta:\mathcal{N}). \Box_w(w'.(\mathsf{choice}?(w',\delta,n) \Downarrow_{w'} \kappa_0 \lor \mathsf{choice}?(w',\delta,n) \Downarrow_{w'} \kappa_1)) \to w \vDash (\delta(\underline{n})) \in \mathsf{Type}\mathcal{C}$

³⁵⁸ Crucially, these properties allow TypeC's inhabitants to be time-sensitive, i.e., to compute ³⁵⁹ to different choices in different extensions, which allows implementing choices with either ³⁶⁰ references or choice sequences. As shown in Ex. 13, we can then instantiate TypeC with ³⁶¹ \clubsuit -truncated types, which references inhabit.

Building up on the examples of choice operators presented in Exs. 5 and 6, we next provide examples for the aforementioned properties of choices.

Example 13. Both free-choice sequences, Fcs, and references, Ref, are extendable, immutable and reflected choices.

Extendable $\nu C(w)$ returns a choice name not occurring in w. For Fcs, start $\nu C(w, r)$ adds a new entry to w that creates a choice sequence with name $\nu C(w)$ and restriction r (using the first kind of entry mentioned in Ex. 5). For Ref, start $\nu C(w, r)$ adds a new reference

cell to w with name $\nu C(w)$ and restriction r (using the first kind of operation mentioned in Ex. 6). In both cases, the properties are straightforward.

Immutable For Fcs, freeze(δ, κ, w) extends w with a new entry (of the second kind from Ex. 5) that adds a new choice κ to the choice sequence δ . mutable(δ, w) is always true since it is always possible to extend choice sequences with new choices. For Ref, freeze(δ, κ, w) updates w by changing the content of the reference cell δ to κ if it is mutable and marking it as immutable; and mutable(δ, w) checks that δ is still mutable in w.

reflected TypeC is \$Nat in both cases, which is inhabited by $\kappa_0 := 0$ and $\kappa_1 := 1$. The other properties follow from the semantics of \$Nat. The use of \$ is crucial because without it we would not be able to prove that choices obtained from worlds that compute to either κ_0 or κ_1 inhabit TypeC, as reference cells can change value over time.

Next, we define the following two properties, which among other things allow proving (B) above. Sec. 6.2.1 shows how those properties can be proved for concrete instances of \Box with Beth bars. The first property requires that the choices corresponding to a name on which a restriction r is imposed, can always eventually be retrieved and that they satisfy r.

Definition 14 (Retrieving). The modality \Box is called retrieving if:

 $\forall (w:\mathcal{W})(\delta:\mathcal{N})(n:\mathbb{N})(r:\operatorname{Res}).\operatorname{compatible}(\delta,w,r) \to \Box_w(w'.r\ n\ \operatorname{choice}?(w',\delta,n))$

The second property states that if $\Box_w P$ then P is true in an extension of w, and this for a specific class of worlds, namely those where only one choice has been made so far (possibly multiple times) and is still mutable. This property allows following a sequence of worlds where the same choice is picked for a given choice name.

Definition 15 (Choice-following). *The modality* □ *is called* choice-following *if:*

 $\exists \mathfrak{g}_{391} \quad \forall (\delta:\mathcal{N})(w:\mathcal{W})(P:\mathcal{P}_w)(r:\mathsf{Res}).\mathsf{Sat}(w,\delta,r) \to \Box_w P \to \exists_w^{\mathsf{E}}(w'.P\ w' \land \mathsf{Sat}(w',\delta,r))$

where Sat(w, δ, r) := compatible(δ, w, r) \land mutable(δ, w) \land OnlyChoice(w, δ, r_{d})

and OnlyChoice $(w, \delta, \kappa) := \forall (n : \mathbb{N})(\kappa' : \mathcal{C})$.choice? $(w, \delta, n) = \kappa' \to \kappa' = \kappa$.

Before we prove the negation of classical axioms, we first prove the following general result. Note the use of \downarrow in Lem. 16, where $\downarrow(T+U)$ captures a classical reading of "or".

Lemma 16. Let $TT_{\mathcal{C}}^{\square}$ be a type system where \mathcal{C} is a non-trivial, extendable, immutable and reflected set of choices and \square is a retrieving, choice-following equality modality. Then, the followings hold (see not_lem.lagda for details):

³⁹⁹ $= \forall (w: \mathcal{W}).\neg \Box_{\operatorname{start}\nu\mathcal{C}(w,r)} (w'.(w' \models \Sigma\mathcal{C}(w)) \lor \forall_{w'}^{\exists}(w''.\neg w'' \models \Sigma\mathcal{C}(w)))$ ⁴⁰⁰ $= \forall (w: \mathcal{W}).\neg \operatorname{start}\nu\mathcal{C}(r, w) \models \downarrow (\Sigma\mathcal{C}(w)+\neg\Sigma\mathcal{C}(w))$

where (1) Σ choice $(\delta, \kappa) := \Sigma k$:Nat. $((\delta(k)) = \kappa \in \text{Type}C)$; (2) $\Sigma C(w) := \Sigma$ choice $(\nu C(w), \kappa_1)$; and (3) $r := \langle res, d \rangle$ is the restriction where $res := \lambda n, \kappa.(\kappa = \kappa_0 \lor \kappa = \kappa_1)$ and $d := \kappa_0$.

Proof. As the second statement is a straightforward consequence of the first, we only sketch a proof of the first. Let $w \in \mathcal{W}$. By extendability, we derive a new choice name δ , namely $\nu \mathcal{C}(w)$, and an extension start $\nu \mathcal{C}(w, r)$ of w, where the only choice made so far for δ is κ_0 , and such that mutable(δ , start $\nu \mathcal{C}(w, r)$), by immutability. We assume $\Box_{\text{start}\nu \mathcal{C}(w,r)}(w'.(w' \models$ $\Sigma \mathcal{C}(w)) \lor \forall_{w'}^{\Xi}(w''.\neg w'' \models \Sigma \mathcal{C}(w))$, and by the choice-following property we can derive a world $w' \supseteq \text{start}\nu \mathcal{C}(w, r)$, where the only choice made so far for δ is κ_0 , and such that $w' \models \Sigma \mathcal{C}(w)$ or $\forall_{w'}^{\Xi}(w''.\neg w'' \models \Sigma \mathcal{C}(w))$. We now derive a contradiction in both cases:

⁴¹⁰ = $w' \models \Sigma C(w)$: By the choice-following property and the meaning of $\Sigma C(w)$, we derive that ⁴¹¹ there exists $k \in \mathbb{N}$ such that $\delta(\underline{k})$ and κ_1 are equal members of the type TypeC in some ⁴¹² world $w'' \supseteq w'$, where the only choice so far associated with δ is κ_0 . Since the modality ⁴¹³ is retrieving and choice-following, we can further derive a world $w''' \supseteq w''$ where $\delta(\underline{k})$ ⁴¹⁴ computes to a choice κ satisfying r (therefore, either $\kappa = \kappa_0$ or $\kappa = \kappa_1$), and again where ⁴¹⁵ the only choice so far associated with δ is κ_0 . We derive that $\delta(\underline{k})$ computes to κ_0 , which ⁴¹⁶ cannot be equal to κ_1 , from which we obtain a contradiction.

 $\forall_{w'}^{\exists}(w'',\neg w'' \models \Sigma \mathcal{C}(w))$: By immutability, we build the world $w'' = \text{freeze}(\delta, \kappa_1, w') \supseteq w'$, 417 and get to assume $\neg w'' \models \Sigma$ choice (δ, κ_1) . The reflected choice and retrieving modality 418 entail $w'' \models \Sigma$ choice (δ, κ_1) , from which we conclude a contradiction. Let us comment on 419 the use of freeze. Assume that when "freezing" κ_1 , it is the n^{th} choice being made for δ 420 in w''. Then, $(\delta \underline{n})$ computes to κ_1 in w''. To derive $w'' \models \Sigma$ choice (δ, κ_1) we must prove 421 that $(\delta \underline{n})$ computes to κ_1 , which using \Box_3 , we must do in a $w'' \supseteq w''$. Now, as some 422 computations are time-sensitive (such as those involving references), without immutability 423 it might not be that $(\delta \underline{n})$ computes to κ_1 in w'''. 425

Using Lem. 16, we can derive the negation of classical axioms such as LEM, or the Limited Principle of Omniscience (LPO) [7, p.9] (the above examples showed how to prove some of the assumptions in this lemma for instances of C and \Box , and the others are described in Sec. 6.2.1, as they rely on a concrete instance of \Box with Beth bars).

⁴³⁰ ► Corollary 17 (Incompatibility with Classical Principles). Let TT_{C}^{\Box} be a type system where ⁴³¹ C is a non-trivial, extendable, immutable and reflected set of choices and \Box is a retrieving, ⁴³² choice-following modality. Then, the following hold (see not_lem.lagda and not_lpo.lagda):

For LPO, we further assume that choices are Booleans, i.e., that TypeC from Def. 12 is Bool, that κ_0 is tt and that κ_1 is ff (see Appx. D for further details).

437 5.2 Agnostic Theories

⁴³⁸ This section introduces the following general property of modalities that enables proving LEM, ⁴³⁹ leading to "agnostic" instances of $TT_{\mathcal{C}}^{\Box}$, in the sense that they support classical reasoning.

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440 Definition 18 (Jumping). The modality \Box_w is called jumping if:

 $441 \quad \forall (w:\mathcal{W})(P:\mathcal{P}_w). \forall_w^{\sqsubseteq}(w_1.\exists_{w_1}^{\sqsubseteq}(w_2.\Box_{w_2}P)) \to \Box_w P$

Note that, classically, the negation of the choice-following property can be read as: 442 $\exists (\delta: \mathcal{N})(w: \mathcal{W})(P: \mathcal{P}_w)(r: \text{Res}).\text{Sat}(w, \delta, r) \land \Box_w P \land \forall_w^{\sqsubseteq}(w'.\text{Sat}(w', \delta, r) \to \neg(P \ w')).$ 443 Reading \Box as "always eventually" this says that there exists a property P, which is always 444 eventually true but there is no extension of the current world that satisfies Sat where P is 445 true. Thus, not all possible futures have to be covered for a property to be "always eventually" 446 true. The jumping property captures a similar behavior only requiring to prove that for all 447 $w_1 \supseteq w$ it is enough to exhibit one world $w_2 \supseteq w_1$ where P is "always eventually" true, to 448 derive that P is "always eventually" true. We now prove that $TT_{\mathcal{C}}^{\Box}$ is compatible with LEM 449 when instantiated with jumping modalities. 450

▶ Lemma 19 (Compatibility with LEM). Let $TT_{\mathcal{C}}^{\Box}$ be a type system where \Box_w is a jumping equality modality. Then, the following holds (classically): $\forall (w : W).w \models \Pi P: \mathbb{U}_i. \downarrow (P+\neg P).$

Proof. By the semantics of the $\Pi P: \mathbb{U}_i . \downarrow (P+\neg P)$, it is enough to prove that for all $w \in \mathcal{W}$ and $p \in \text{Term}$ such that $w \models p \in \mathbb{U}_i$, then $\Box_w(w'.w' \models p \lor \forall_{w'}^{\Xi}(w''.\neg w'' \models p))$. By the jumping property, it is enough to prove $\forall_w^{\Xi}(w_1.\exists_{w_1}^{\Xi}(w_2.\Box_{w_2}(w_3.w_3 \models p \lor \forall_{w_3}^{\Xi}(w_4.\neg w_4 \models p))))$. Let $w_1 \supseteq w$, and we prove $\exists_{w_1}^{\Xi}(w_2.\Box_{w_2}(w_3.w_3 \models p \lor \forall_{w_3}^{\Xi}(w_4.\neg w_4 \models p))))$. Using classical logic, we can then prove this by cases (see lem.lagda for further details):

 $\exists_{w_1}^{E}(w_2.w_2 \models p): \text{ We obtain a } w_2 \supseteq w_1 \text{ such that } w_2 \models p. \text{ We instantiate our conclusion}$ $using <math>w_2$, and must prove $\Box_{w_2}(w_3.w_3 \models p \lor \forall_{w_3}^{E}(w_4.\neg w_4 \models p)).$ Using \Box_4 it is enough to prove $\forall_{w_2}^{E}(w_3.w_3 \models p \lor \forall_{w_3}^{E}(w_4.\neg w_4 \models p)), \text{ which we prove by monotonicity of } w_2 \models p.$ $\exists_{w_1}^{E}(w_2.w_2 \models p): \text{ We instantiate our conclusion using } w_1, \text{ and show that } \Box_{w_1}(w_3.w_3 \models p \lor \forall_{w_3}^{E}(w_4.\neg w_4 \models p)).$ $\forall_{w_3}^{E}(w_4.\neg w_4 \models p)). \text{ Using } \Box_4, \text{ it is enough to prove } \forall_{w_1}^{E}(w_3.w_3 \models p \lor \forall_{w_3}^{E}(w_4.\neg w_4 \models p)).$ Therefore, assuming $w_3 \supseteq w_1, \text{ it remains to show } w_3 \models p \lor \forall_{w_3}^{E}(w_4.\neg w_4 \models p), \text{ and since}$ the right disjunct is provable, this contradicts our assumption. \blacktriangleleft

466 6 Bars

The notion of topological spaces of bars is typically used in possible worlds semantics to capture the intuitive notion of time progression and provide a forcing interpretation. Therefore, this section provides an abstract definition of this notion and establishes the connection to the aforementioned equality modalities. Concretely, we offer a notion of monotone bars that we then use to instantiate the equality modalities with.

472 6.1 Bar Spaces

The opens of a topological bar space are collections of worlds. To define a topological space of bars, one needs to describe the "shape" of the opens in the space through a predicate, which specifies when an open belongs to the space. Given a bar space, a bar in that space is an open (a collection of worlds) that satisfies the predicate specifying the space.

▶ Definition 20 (Bars). Let $\mathcal{O} := \mathcal{W} \to \mathbb{P}$ be the set of predicates on worlds, which we call opens, and let BarProp := $\mathcal{W} \to \mathcal{O} \to \mathbb{P}$ be the set of predicates on opens. An open o is said to be a bar in $B \in BarProp w.r.t.$ a world w if: (1) it satisfies (B w o), (2) all its elements extend w, and (3) it is upward closed w.r.t. \subseteq (i.e., if $w_1 \subseteq w_2$ and ($o w_1$) then ($o w_2$)). We denote the set of all bars in B w.r.t. w by \mathcal{B}_B^w .

Intuitively, given $B \in BarProp$, $(B \ w \ o)$ specifies whether o "bars" the world w. We write $w \triangleleft o \in B$ for $(B \ w \ o)$, and $w' \in o$ for $(o \ w')$.

⁴⁸⁴ ► **Definition 21** (Bar Spaces). $B \in BarProp$ is called a bar space if it satisfies the followings:

 ${}_{485} \quad \blacksquare \quad \operatorname{isect}(B) \coloneqq \forall (w : \mathcal{W})(o_1, o_2 : \mathcal{O}). w \triangleleft o_1 \in B \to w \triangleleft o_2 \in B \to w \triangleleft (o_1 \cap o_2) \in B,$

 $\text{ where } o_1 \cap o_1 \in \mathcal{O} \coloneqq \lambda w_0. \exists (w_1, w_2 : \mathcal{W}). w_1 \in o_1 \land w_2 \in o_2 \land w_1 \sqsubseteq w_0 \land w_2 \sqsubseteq w_0.$

 $\texttt{union}(B) \coloneqq \forall (w: \mathcal{W})(b: \mathcal{B}_B^w)(i: \forall w' \exists w.w' \in b \to \mathcal{B}_B^{w'}).w \triangleleft (\cup(i)) \in B,$

488 where $\cup(i) \in \mathcal{O} \coloneqq \lambda w_0 . \exists w_1 \supseteq w . \exists (j : w_1 \in b) . w_0 \in (i \ w_1 \ j), given \ i \in \forall w' \supseteq w . w' \in b \to \mathcal{B}_B^{w'}$.

 $489 \quad = \text{top}(B) \coloneqq \forall (w: \mathcal{W}). w \triangleleft (\mathsf{T}(w)) \in B, \text{ where } \mathsf{T}(w) \in \mathcal{O} \coloneqq \lambda w_0. w \sqsubseteq w_0.$

490 non $\mathscr{O}(B) \coloneqq \forall (w : \mathcal{W})(b : \mathcal{B}_B^w) : \exists_w^{\subseteq}(w' : w' \in b).$

 ${}_{491} \quad \blacksquare \quad \operatorname{sub}(B) \coloneqq \forall (w_1, w_2 : \mathcal{W})(o : \mathcal{O}). w_1 \sqsubseteq w_2 \to w_1 \triangleleft o \in B \to w_2 \triangleleft (o \downarrow_{w_2}) \in B,$

492 where $o \downarrow_w \in \mathcal{O} \coloneqq \lambda w_0. \exists (w_1 : \mathcal{W}). w_1 \in o \land w_1 \sqsubseteq w_0 \land w \sqsubseteq w_0.$

⁴⁹³ We denote by BarSpace the set of all bar spaces.

That is, a bar space B is a set of opens that is closed under binary intersections (i.e., isect(B)) and arbitrary unions (i.e., union(B)), contains a top element (i.e., top(B)), all its elements are non-empty (i.e., non $\mathcal{O}(B)$), and is closed under subsets (i.e., sub(B)).

For $w \in \mathcal{W}$, $P \in \mathcal{P}_w$, $B \in BarSpace$, and $b \in \mathcal{B}_B^w$, we write $P \in b$ for $\forall w' \supseteq w.w' \in b \rightarrow P w'$, i.e., P holds at the bar b, i.e., for all elements in b. Let $\exists \mathcal{B}_B^w \in \mathcal{P}_w \rightarrow \mathbb{P}$ be defined as $\lambda P.(\exists (b : \mathcal{B}_B^w).P \in b))$, i.e., that P holds in some bar of the space B. Using this definition, we next show that any bar space B induces an equality modality.

▶ **Proposition 22.** If $B \in BarSpace and w \in W$, then $\exists B_B^w$ is an equality modality.

Proof. Given the properties of a bar space, we derive corresponding properties for bars in \mathcal{B}_B^w , and in turn, the properties of an equality modality. In particular, $\mathsf{sub}(B)$ allows deriving \Box_1 , $\mathsf{isect}(B)$ allows deriving \Box_2 , $\mathsf{union}(B)$ allows deriving \Box_3 , $\mathsf{non}\emptyset(B)$ allows deriving \Box_5 , and $\mathsf{top}(B)$ allows deriving \Box_4 . See Appx. C and bar.lagda for further details.

Let $\operatorname{TT}_{\mathcal{C}}^{B}$ be the theory $\operatorname{TT}_{\mathcal{C}}^{\Box}$, where \Box is derived from $B \in \operatorname{BarSpace}$ using Prop. 22.

Corollary 23. For any choice operator C and $B \in BarSpace$, TT_C^B is a type system in the sense of Thm. 9.

509 6.2 Examples of Bar Spaces

We next present two examples of bar spaces, namely Beth bars in Def. 25 and open bars in Def. 28, and use them to provide concrete instances for intuitionistic and agnostic ««« HEAD theories. ====== theories. »»»> e5e99da5628a63d71b0915560173a98d94cb6bb0 In particular, we show that the choice-following property, which is key in proving compatibility with LEM, is satisfied by Beth bars but not by open bars.

515 **6.2.1** Beth Bars

As presented below, a Beth bar is defined so that for any infinite sequence of worlds ordered by \subseteq , there exists a world in that sequence belonging to the bar. However, for Beth bars to satisfy the retrieving property presented in Def. 14, we must also ensure that for any choice name δ occurring in a world w in a chain, there is a $w' \supseteq w$ in that chain such that choice? (w', δ, n) is defined. To this end we introduce a predicate progress $\in \mathcal{N} \to \mathcal{W} \to \mathcal{W} \to \mathbb{P}$, which we show how to instantiate in Exs. 26 and 27, as well as the concept of (progressing) chains:

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▶ Definition 24 (Chains & Barred Chains). Let chain(w) be the set of sequences of worlds in $\mathbb{N} \to \mathcal{W}$ such that $c \in \text{chain}(w)$ iff (1) $w \sqsubseteq c \ 0$, (2) for all $i \in \mathbb{N}$, $c \ i \sqsubseteq c \ (i + 1)$; and (3) c is progressing, i.e., $\forall (\delta : \mathcal{N})(n : \mathbb{N})(r : \text{Res}).\text{compatible}(\delta, (c \ n), r) \to \exists m >$ n.progress($\delta, (c \ n), (c \ m)$). We say that a chain $c \in \text{chain}(w)$ is barred by an $o \in \mathcal{O}$, denoted barredChain(o, c), if there exists a world $w' \sqsubseteq (c \ n)$ for some $n \in \mathbb{N}$ such that $w' \in o$.

⁵²⁷ Using chains, we define Beth bars as follows:

Definition 25 (Beth Bars). Beth bars are defined by the following bar predicate Beth := $\lambda w.\lambda o. \forall (c: \text{chain}(w)).\text{barredChain}(o, c)$, which is a bar space due to the properties of chains.⁴

We now show through the following two examples how to define Beth bars, and how they induce a retrieving (Def. 14) and choice-following (Def. 15) modality, as required by Cor. 17.

▶ Example 26 (Beth Bars & Free-Choice Sequences). Building up on Ex. 13, we present here an example where choices are free-choice sequences and bars are Beth bars, yielding an intuitionistic theory TT^{Beth}_{Fcs} (see worldInstanceCS.lagda and modInstanceCS.lagda for details).
 This is the theory presented in [5].

⁵³⁶ **Progress** For Fcs, progress(δ, w_1, w_2) states that the state of the choice sequence δ in w_1 is a ⁵³⁷ strict initial segment of the state of the choice sequence δ in w_2 .

Retrieving We prove this property by exhibiting a bar that given a choice name δ and a $n \in \mathbb{N}$, requires its n^{th} choice to exist. We can prove that this forms a Beth bar thanks to the fact that chains are required to always eventually make progress.

Choice-following This property is true about Beth bars because they require *all* possible chains of worlds extending a given world w to be "barred" by the bar. Given a choice name δ that satisfies Sat (w, δ, r) , we can therefore pick a chain that repeatedly makes the same choice for δ , and obtain a world along that chain, which is at the bar.

⁵⁴⁵ ► Example 27 (Beth Bars & References). Building up on Ex. 13, we present here an example
 where choices are references and bars as Beth bars, yielding an intuitionistic theory TT^{Beth}_{Ref}
 ⁵⁴⁷ (see worldInstanceRef.lagda and modInstanceRef.lagda for details).

⁵⁴⁸ **Progress** For Ref, progress(δ , w_1 , w_2) states that if a reference cell named δ holds t in w_1 , ⁵⁴⁹ then it must also hold t' in w_2 , such that t = t' if the cell is not mutable in w_1 .

Retrieving This property is trivial to prove for references because we need to exhibit a bar, which given $\delta \in \mathcal{N}$ and $n \in \mathbb{N}$, requires δ 's n^{th} choice to exist, which necessarily does because choice? (w, δ, n) disregards its argument n and returns δ 's current content in w. **Choice-following** This property is proved as for free-choice sequences.

554 6.2.2 Open Bars

⁵⁵⁵ Open bars [6] are more straightforwardly defined and do not require the concept of chains.

▶ **Definition 28** (Open Bars). Open bars are defined by the following bar predicate: Open := $\lambda w.\lambda o. \forall_{w}^{\exists}(w_{1}.\exists_{w_{1}}^{\exists}(w_{2}.w_{2} \in o)), which forms a bar space.$

The choice-following property does not hold for open bars due to the existential quantification in their definition, which allows different choices to be made. In fact, we can prove the negation of the choice-following property for open bars. Given $w_0 \in \mathcal{W}$, $\exists (\delta : \mathcal{N})(w : \mathcal{W})(P :$

⁴ To be precise, to prove that Beth bars satisfy the non \emptyset property, we further require a function ChofW from $w \in W$ to chain(w).

⁵⁶¹ $\mathcal{P}_w)(r: \text{Res}).\text{Sat}(w, \delta, r) \land \Box_w P \land \forall_w^{\Xi}(w'.\text{Sat}(w', \delta, r) \to \neg(P w'))$ holds by instantiating δ ⁵⁶² with $\nu \mathcal{C}(w_0)$, w with $\text{start}\nu \mathcal{C}(w_0, r)$, and P with $\lambda w'.\neg$ mutable (δ, w') , where r restricts the ⁵⁶³ choices to be either κ_0 or κ_1 . Next we show that open bars induce a jumping modality, ⁵⁶⁴ which is required to prove Lem. 19.

Example 29 (Open bars). The agnostic theory TT_{C}^{Open} , built upon open bars and an arbitrary choice operator C, is compatible with classical logic (see lem.lagda). In [6] this theory was presented specifically for Fcs. As choices are irrelevant to prove Lem. 19, we can instantiate them with any suitable type, such as Ref or Fcs, and W can be any poset. It remains to show that Open satisfies the jumping property, which follows from the definition of open bars in terms of the existence of extensions of all extensions of the current world.

7 Conclusions and Related Works

This paper provides a generic extensional type theory incorporating various time-progressing 572 elements along with a possible-worlds forcing interpretation parameterized by modalities, 573 which when instantiated with topological spaces of bars leads to a general sheaf model. We 574 have opted for a general framework, both in terms of the choice operators it can embed 575 and its modality-based semantics. This is so that our system is abstract enough to capture 576 other general models from the literature, as well as for it to contain a wide class of theories, 577 allowing us to reason collectively about their (in)compatibility with classical reasoning. Much 578 remains to be explored to fully utilize our general framework to study the relation with 579 classical reasoning. For one, the choice and modality properties presented in Sec. 5 provide 580 sufficient conditions for determining the relation of the corresponding theories to classical 581 reasoning. Further work is required to establish whether they are also necessary. 582

Other sheaf models for choice-like concepts have been proposed in the literature. We 583 mention a few concrete examples that are most closely related to our general framework. 584 In [21], the author provides a sheaf model of predicate logic extended with non-constructive 585 objects such as choice sequences, where formulas are interpreted w.r.t. a forcing interpretation 586 parameterized by a site. In [42], the authors provide sheaf models for the intuitionistic 587 theories LS [41] and CS [29] featuring choice sequences, where formulas are essentially 588 interpreted w.r.t. a forcing interpretation over the Baire space. In [14; 13], the authors prove 589 the uniform continuity of a Martin-Löf-like intensional type theory using forcing, and extract 590 an algorithm that computes a uniform modulus of continuity. In [25] the authors introduce 591 a forcing translation for the Calculus of Inductive Constructions (CIC) [33] extended with 592 effects, which crucially preserves definitional equality. In [15], the independence of MP with 503 Martin-Löf's type theory is established through a forcing interpretation, with sequences of 594 Booleans as forcing conditions, by following Brouwer's argument that it is not decidable 595 whether a choice sequence of Booleans will remain true for ever or become eventually false. 596

Related to our work is also the line of work, starting from [35], on building syntactic models 597 of CIC, by translating CIC extended with logical principles and effects into itself. Using this 598 technique, in [8], the authors present syntactic models through which properties can be added 599 to negative types, allowing them to prove independent results, e.g., the independence of 600 function extensionality in intentional type theory. In [36], the authors present a translation, 601 where the resulting type theory features exceptions, which is consistent if the target theory 602 is when exceptions are required to be caught locally. The authors use this translation to 603 exhibit syntactic models of CIC which validate the independence of premise axiom, but 604 not MP. In [38], the authors solve the problem of the restriction on exceptions in [36] by 605 introducing a layered type theory with exceptions, which separates the consistency and 606

⁶⁰⁷ effectful programming concerns. In [34] the authors present a syntactic presheaf model of ⁶⁰⁸ CIC, which solves issues with dependent elimination present in [25], and allows extending ⁶⁰⁹ CIC with MP. In [37], the authors go back to these dependent elimination issues and present

a new version of call-by-push-value which allows combining effects and dependent types.

Also connected to our work are the generic modal theories recently introduced in [23; 611 22]. In [23] presents a Martin-Löf type theory extended with an S4-style necessity modality, 612 and prove that the resulting theory satisfies normalization and decidability of type checking 613 properties. To guarantee that the modality is an S4 necessity modality, this theory imposes 614 restrictions on the terms that inhabit modalities, which are enforced through a "locking" 615 mechanism. While this paper focuses on normalization on particular, our main focus is 616 on deriving a modal type theory, which in particular satisfies monotonicity and locality to 617 capture properties of choice operators. The generic modal type theory MTT presented in [22] 618 goes one step further by supporting multiple interacting modalities. Both theories share the 619 same goal of generically capturing hand-crafted modal theories, while we in particular focus 620 on modalities "compatible" with choice operators. 621

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A TT^{\Box}_{C} 's Inference Rules

In $\mathrm{TT}^{\Box}_{\mathcal{C}}$, sequents are of the form $h_1, \ldots, h_n \vdash t : T$. Such a sequent denotes that, assuming 725 h_1, \ldots, h_n , the term t is a member of the type T, and that therefore T is a type. The term t 726 in this context is called the *extract* of T. Extracts are sometimes omitted when irrelevant to 727 the discussion. An hypothesis h is of the form x : A, where the variable x stands for the 728 name of the hypothesis and A its type. A rule is a pair of a conclusion sequent S and a list 729 of premise sequents, S_1, \dots, S_n (written as usual using a fraction notation, with the premises 730 on top). Let us now provide a sample of $TT_{\mathcal{C}}^{\Box}$'s key inference rules for some of its types not 731 discussed above. In what follows, we write $a \in A$ for $a = a \in A$. 732

733 A.1 Products

⁷³⁴ The following rules are the standard Π -elimination rule, Π -introduction rule, type equality ⁷³⁵ for Π types, and λ -introduction rule, respectively.

$$\frac{H, f: \mathbf{\Pi} x: A.B, J \vdash a \in A \quad H, f: \mathbf{\Pi} x: A.B, J, z: f(a) \in B[x \setminus a] \vdash e: C}{H, f: \mathbf{\Pi} x: A.B, J \vdash e[z \setminus \star]: C} \qquad \frac{H, z: A \vdash b: B[x \setminus z] \quad H \vdash A \in \mathbb{U}_i}{H \vdash \lambda z.b: \mathbf{\Pi} x: A.B}$$

$$\frac{H \vdash A_1 = A_2 \in \mathbb{U}_i \quad H, y : A_1 \vdash B_1[x_1 \setminus y] = B_2[x_2 \setminus y] \in \mathbb{U}_i}{H \vdash \mathbf{\Pi} x_1 : A_1 . B_1 = \mathbf{\Pi} x_2 : A_2 . B_2 \in \mathbb{U}_i} \qquad \qquad \frac{H, z : A \vdash t_1[x_1 \setminus z] = t_2[x_2 \setminus z] \in B[x \setminus z] \quad H \vdash A \in \mathbb{U}_i}{H \vdash \lambda x_1 . t_1 = \lambda x_2 . t_2 \in \mathbf{\Pi} x : A . B}$$

Note that the last rule requires to prove that A is a type because the conclusion requires to prove that $\Pi x: A.B$ is a type, and the first hypothesis only states that B is a type family over A, but does not ensures that A is a type.

The following rule is the standard function extensionality rule:

$$\frac{H, z: A \vdash f_1(z) = f_2(z) \in B[x \setminus z] \quad H \vdash A \in \mathbb{U}_i}{H \vdash f_1 = f_2 \in \Pi x: A.B}$$

739

The following captures that equalities are closed under β -reductions:

$$\frac{H \vdash t[x \setminus s] = u \in T}{H \vdash (\lambda x.t) \ s = u \in T}$$

740

741 A.2 Sums

The following rules are the standard Σ -elimination rule, Σ -introduction rule, type equality for the Σ type, and pair-introduction rule, respectively.

$$\frac{H, p: \mathbf{\Sigma}x: A.B, a: A, b: B[x\backslash a], J[p\backslash\langle a, b\rangle] \vdash e: C[p\backslash\langle a, b\rangle]}{H, p: \mathbf{\Sigma}x: A.B, J \vdash \text{let } a, b = p \text{ in } e: C} \qquad \frac{H \vdash a \in A \quad H \vdash b \in B[x\backslash a] \quad H, z: A \vdash B[x\backslash z] \in \mathbb{U}_i}{H \vdash \langle a, b \rangle: \mathbf{\Sigma}x: A.B}$$

$$\frac{H \vdash A_1 = A_2 \in \mathbb{U}_i \quad H, y : A_1 \vdash B_1[x_1 \setminus y] = B_2[x_2 \setminus y] \in \mathbb{U}_i}{H \vdash \Sigma x_1 : A_1 . B_1 = \Sigma x_2 : A_2 . B_2 \in \mathbb{U}_i} \qquad \qquad \frac{H, z : A \vdash B[x \setminus z] \in \mathbb{U}_i \quad H \vdash a_1 = a_2 \in A \quad H \vdash b_1 = b_2 \in B[x \setminus a_1]}{H \vdash \langle a_1, b_1 \rangle = \langle a_2, b_2 \rangle \in \Sigma x : A . B}$$

744

The following rule states that equalities are closed under spread-reductions:

$$\frac{H \vdash u[x \setminus s; y \setminus t] = t_2 \in T}{H \vdash \text{let } x, y = \langle s, t \rangle \text{ in } u = t_2 \in T}$$

745

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746 A.3 Equality

The following rules are the standard equality-introduction rule:, equality-elimination rule, hypothesis rule, symmetry and transitivity rules, respectively.

$H \vdash A = B \in \mathbb{U}_i H \vdash a_1 = b_1 \in A$	$H \vdash a_2 {=} b_2 {\in} B$	$H, z : a = b \in A, J[z \setminus \star] \vdash e : C[z \setminus \star]$
$H \vdash (a_1 = a_2 \in A) = (b_1 = b_2)$	$H, z : a = b \in A, J \vdash e : C$	
$\overline{H, x : A, J \vdash x \in A}$	$\frac{H \vdash b = a \in T}{H \vdash a = b \in T}$	$\frac{H \vdash a = c \in T H \vdash c = b \in T}{H \vdash a = b \in T}$

747

The following rule allows fixing the extract of a sequent:

$$\frac{H \vdash t : T}{H \vdash t \in T}$$

748

The following rule allows rewriting with an equality in an hypothesis:

$$\frac{H, x: B, J \vdash t: C \quad H \vdash A = B \in \mathbb{U}_i}{H, x: A, J \vdash t: C}$$

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750 A.4 Universes

Let i be a lower universe than j. The following rules are the standard universe-introduction rule and the universe cumulativity rule, respectively.

$$\frac{H \vdash T \in \mathbb{U}_j}{H \vdash U_i = \mathbb{U}_i \in \mathbb{U}_j} \qquad \qquad \frac{H \vdash T \in \mathbb{U}_j}{H \vdash T \in \mathbb{U}_i}$$

751 A.5 Sets

The following rule is the standard set-elimination rule:

$$\frac{H, z : \{x : A \mid B\}, a : A, \boxed{b : B[x \setminus a]}, J[z \setminus a] \vdash e : C[z \setminus a]}{H, z : \{x : A \mid B\}, J \vdash e[a \setminus z] : C}$$

Note that we have used a new construct in the above rule, namely the hypothesis $b: B[x \setminus a]$, which is called a hidden hypothesis. The main feature of hidden hypotheses is that their names cannot occur in extracts (which is why we "box" those hypotheses). Intuitively, this is because the proof that B is true is discarded in the proof that the set type $\{x : A \mid B\}$ is true and therefore cannot occur in computations. Hidden hypotheses can be unhidden using the following rule:

$$\frac{H, x:T, J \vdash \star : a = b \in A}{H, [x:T], J \vdash \star : a = b \in A}$$

which is valid since the extract is \star and therefore does not make use of x.

The following rules are the standard set-introduction rule, type equality for the set type, and introduction rule for members of set types, respectively.

$$\frac{H \vdash a \in A \quad H \vdash B[x \setminus a] \quad H, z : A \vdash B[x \setminus z] \in \mathbb{U}_i}{H \vdash a : \{x : A \mid B\}}$$

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$$\frac{H \vdash A_1 = A_2 \in \mathbb{U}_i \quad H, y : A_1 \vdash B_1[x_1 \setminus y] = B_2[x_2 \setminus y] \in \mathbb{U}_i}{H \vdash \{x_1 : A_1 \mid B_1\} = \{x_2 : A_2 \mid B_2\} \in \mathbb{U}_i}$$
$$\frac{H, z : A \vdash B[x \setminus z] \in \mathbb{U}_i \quad H \vdash a = b \in A \quad H \vdash B[x \setminus a]}{H \vdash a = b \in \{x : A \mid B\}}$$

755 A.6 Disjoint Unions

The following rules are the standard disjoint union-elimination rule, disjoint union-introduction rules, type equality for the disjoint union type, and injection-introduction rules, respectively.

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The following rules state that PERs are closed under decide-reductions:

$$\begin{array}{c} H \vdash t[x \backslash s] = t_2 \in T \\ \hline H \vdash (\text{case inl}(s) \text{ of inl}(x) \Rightarrow t \mid \text{inr}(y) \Rightarrow u) = t_2 \in T \\ \hline H \vdash u[y \backslash s] = t_2 \in T \\ \hline H \vdash (\text{case inr}(s) \text{ of inl}(x) \Rightarrow t \mid \text{inr}(y) \Rightarrow u) = t_2 \in T \end{array}$$

758 A.7 Time-Quotients

The following rules are the introduction and type equality rules for the time-quotienting type. Due to their nature, we do not provide an elimination rule. Note that in practice more terms that the ones in A can be shown to be in A. For example, given a choice name δ with a restriction that constrains its choices to be elements of A, we can prove that $(\delta \underline{n})$, for $n \in \mathbb{N}$ is in A, even though $(\delta \underline{n})$ might change over time. Devising such rules is left for future work.

$$\frac{H \vdash a : A}{H \vdash a : \$A} \qquad \frac{H \vdash A = B \in \mathbb{U}_i}{H \vdash \$A = \$B \in \mathbb{U}_i} \qquad \frac{H \vdash a = b \in A}{H \vdash a = b \in \$A}$$

765 **B** Equality Modalities

As mentioned in Sec. 4, our forcing interpretation relies on a pair of a modality \square and a 766 dependent modality . The version of this interpretation presented there is a consequence of 767 the formal definition, which involves both modalities. Let us now describe this definition 768 in this section (see forcing.lagda for further details). We define in Fig. 3 an $w \models_l T_1 \equiv T_2$ set, 769 which compared to the one presented in Sec. 4, contains a universe level annotation l, which 770 is simply here a N. In addition, that figure defines a recursive function $w \models_l a \equiv b \in e$, which 771 recurses over $e \in w \models_l T_1 \equiv T_2$, and again contains a universe level annotation compared 772 to the one presented in Sec. 4. This inductive-recursive definition is defined recursively 773 over universe levels. The function $w \models a \equiv b \in T$ presented in Sec. 4 can then be defined as 774 $\exists (l:\mathbb{N})(e:w\models_l T=T).w\models a=b\in e.$ 775

Let us now formally introduce the dependent modality \square_w^i , along with its properties. First, we introduce a dependent version of the set \mathcal{P}_w as follows: the collection of predicates

Figure 3 Inductive-Recursive Forcing Interpretation Inductive definition:

 $w \vDash_{l} T_{1} \equiv T_{2} ::= \operatorname{NAT} \equiv (T_{1} \Downarrow_{w} \operatorname{Nat} \land T_{2} \Downarrow_{w} \operatorname{Nat})$ $(\exists (x: \mathsf{Var})(A_1, A_2, B_1, B_2: \mathsf{Term})(e: \forall_w^{\mathsf{E}}(w'. w' \vDash_l A_1 \equiv A_2)).$ $T_1 \Downarrow_w \mathbf{\Pi} x: A_1.B_1 \land T_2 \Downarrow_w \mathbf{\Pi} x: A_2.B_2$ $\land \forall_w^{\sqsubseteq} (w'.\forall(a,b:\mathsf{Term}).w' \vDash_l a \equiv b \in (e \ w') \to w' \vDash_l B_1[x \backslash a] \equiv B_2[x \backslash b])$ | PI≡| $(\exists (A_1, A_2, B_1, B_2 : \mathsf{Term})(e : \forall_w^{\subseteq}(w'.w' \vDash_l A_1 \equiv A_2)).$ $T_1 \Downarrow_w \mathbf{\Pi} x {:} A_1.B_1 \wedge T_2 \Downarrow_w \mathbf{\Pi} x {:} A_2.B_2$ | SUM≡ $\forall \psi_{w} = \sum_{i=1}^{\infty} (w' \cdot \forall (a, b : \text{Term}) \cdot w' \models_{l} a \equiv b \in (e \ w') \rightarrow w' \models_{l} B_{1}[x \setminus a] \equiv B_{2}[x \setminus b])$ $\forall \exists (A_{1}, A_{2}, B_{1}, B_{2} : \text{Term})(e : \forall_{w}^{\Xi}(w' \cdot w' \models_{l} A_{1} \equiv A_{2})).$ $T_{1} \Downarrow_{w} \mathbf{\Pi} x : A_{1} \cdot B_{1} \wedge T_{2} \Downarrow_{w} \mathbf{\Pi} x : A_{2} \cdot B_{2}$ | SET≡ $\land \forall_{w}^{\exists}(w'.\forall(a,b:\mathsf{Term}).w' \vDash_{l} a \equiv b \in (e \ w') \to w' \vDash_{l} B_{1}[x \setminus a] \equiv B_{2}[x \setminus b])$ $\wedge \forall_{w}^{\exists}(w, \forall (u, v), (u$ UNION≡ $\begin{array}{c} T \exists (a_1, a_2, b_1, b_2, A, B : \mathsf{Term})(e : \forall_w^{\Xi}(w'.w' \vDash_l A \equiv B)). \\ T_1 \Downarrow_w a_1 = a_2 \in A & \wedge T_2 \Downarrow_w b_1 = b_2 \in B \\ \wedge \forall_w^{\Xi}(w'.w' \vDash_l a_1 \equiv b_1 \in (e \ w')) \wedge \forall_w^{\Xi}(w'.w' \vDash_l a_2 \equiv b_2 \in (e \ w')) \end{array}$ | EQ≡ $| \mathsf{QTIME} \equiv (\exists (A, B : \mathsf{Term}).T_1 \Downarrow_w \$ A \land T_2 \Downarrow_w \$ B \land \forall_w^{\scriptscriptstyle \boxtimes} (w'.w' \vDash_l A \equiv B))$ $| \text{MOD} \equiv (\Box_w(w'.w' \vDash_l T_1 \equiv T_2))$ $| \text{UNIV} \equiv (\exists (j < l) . T_1 \Downarrow_w \mathbb{U}_j \land T_2 \Downarrow_w \mathbb{U}_j)$ Recursive function: $w \models_{l} t \equiv t' \in \text{NAT} \equiv (c_1, c_2)$ $:= \Box_w(w' : \exists (n : \mathbb{N}) : t \Downarrow_{w'} n \wedge t' \Downarrow_{w'} n)$ $w \vDash_l t \equiv t' \in \mathsf{PI} \equiv (x, A_1, A_2, B_1, B_2, e, c_1, c_2, f)$ $\coloneqq \Box_w(w'.\forall (a_1, a_2 : \mathsf{Term})(i : w' \vDash_l a_1 \equiv a_2 \in (e \ w')).w' \vDash_l (t \ a_1) \equiv (t' \ a_2) \in (f \ w' \ a_1 \ a_2 \ i))$
$$\begin{split} w &\models_l t \equiv t' \in \mathsf{SUM} \equiv (x, A_1, A_2, B_1, B_2, e, c_1, c_2, f) \\ &\coloneqq \Box_w \left(w' \cdot \frac{\exists (a_1, a_2, b_1, b_2 : \mathsf{Term})(i : w' \models_l a_1 \equiv a_2 \in (e \ w')).}{w' \models_l b_1 \equiv b_2 \in (f \ w' \ a_1 \ a_2 \ i) \land t \Downarrow_{w'} \langle a_1, b_1 \rangle \land t' \Downarrow_{w'} \langle a_2, b_2 \rangle} \end{split} \end{split}$$
 $w \vDash_l t \equiv t' \in SET \equiv (x, A_1, A_2, B_1, B_2, e, c_1, c_2, f)$ $\coloneqq \square_w(w'.\exists (b_1, b_2 : \mathsf{Term})(i : w' \vDash_l t \equiv t' \in (e \ w')).w' \vDash_l b_1 \equiv b_2 \in (f \ w' \ t \ t' \ i))$ $w \vDash_l t \equiv t' \in \text{UNION} \equiv (A_1, A_2, B_1, B_2, c_1, c_2, e, f)$ $\coloneqq \Box_w \left(w'. \exists (u, v : \text{Term}). \begin{array}{c} (t \Downarrow_{w'} \text{inl}(u) \land t' \Downarrow_{w'} \text{inl}(v) \land w' \vDash_l u \equiv v \in (e \ w')) \\ \lor (t \Downarrow_{w'} \text{inr}(u) \land t' \Downarrow_{w'} \text{inr}(v) \land w' \vDash_l u \equiv v \in (f \ w')) \end{array} \right)$ $w \models_l t \equiv t' \in EQ \equiv (a_1, a_2, b_1, b_2, A, B, e, c_1, c_2, i_1, i_2)$ $\coloneqq \Box_w(w'.w' \vDash_l a_1 \equiv a_2 \in (e \ w'))$ $w \vDash_l t \equiv t' \in QTIME \equiv (A, B, c_1, c_2, e)$ $\coloneqq \Box_w(w'.(\lambda a, b.\exists (c, d: \forall \mathsf{alue}).a \sim_w c \land b \sim_w d \land w \vDash_l c \equiv d \in (e \ w'))^+ t \ t')$ $w \models_{l} t \equiv t' \in MOD \equiv (i)$ $:= \Box_w^i(w' \cdot \lambda(j: w' \models_l T_1 \equiv T_2) \cdot w' \models_l t \equiv t' \in j), \text{ where } i \text{ is a proof of } \Box_w(w' \cdot w' \models_l T_1 \equiv T_2)$ $w \vDash_{l} t \equiv t' \in \text{UNIV} \equiv (j, c_1, c_2)$ $= w \models_i t = t'$, where j < l

⁷⁷⁸ in $\forall w' \supseteq w.P w' \to \mathbb{P}$ for $P \in \mathcal{P}_w$, is denoted \mathcal{P}_w^P . The dependent modality $\Box_w^i \in \mathcal{P}_w^P \to \mathbb{P}$, ⁷⁷⁹ where $P \in \mathcal{P}_w \to \mathbb{P}$ and $i \in \Box_w P$, is called a *dependent equality modality*

Note that as for members of \mathcal{P}_w , due to \equiv 's transitivity, if $Q \in \mathcal{P}_w^P$, where $P \in \mathcal{P}_w$, then for every $w' \supseteq w$, it naturally extends to a predicate in $\mathcal{P}_{w'}^P$. Also, note that property \Box_1 in Def. 8 can be viewed as defining a lifting operator $\uparrow_{w'}i$, which returns a $\Box_{w'}P$, given a $w' \supseteq w$ and $i \in \Box_w P$ as specified there. This lifting operator will be used to state \Box_w^i 's properties. We can now state \Box_w^i 's properties, which are counterparts of properties \Box_1, \Box_2, \Box_3 :

 \blacksquare \square_1 : monotonicity of \square :

$$\forall (w:\mathcal{W})(P:\mathcal{P}_w)(Q:\mathcal{P}_w^P)(i:\square_w P). \forall w' \supseteq w. \square_w^i Q \to \square_{w'}^{\uparrow_{w'}i} Q$$

This property defines a lifting operator $\uparrow_{w'} j$, which returns a $\Box_{w'}^{\uparrow_{w'} i} Q$, given a $w' \supseteq w$ and $j \in \Box_{w}^{i} Q$ as specified above.

 \blacksquare \blacksquare_2 : A version of the distribution axiom:

$$\forall (w: \mathcal{W})(P_1, P_2, P_3: \mathcal{P}_w)(Q_1: \mathcal{P}_w^{P_1})(Q_2: \mathcal{P}_w^{P_2})(Q_3: \mathcal{P}_w^{P_3})(i_1: \Box_w P_1)(i_2: \Box_w P_2)(i_3: \Box_w P_3). (\forall_w^{\leq}(w') \forall (p_1: P_1 \ w')(p_2: P_2 \ w')(p_3: P_3 \ w').Q_1 \ w' \ p_1 \to Q_2 \ w' \ p_2 \to Q_3 \ w' \ p_3) \to \Box_w^{i_1} Q_1 \to \Box_w^{i_2} Q_2 \to \Box_w^{i_3} Q_3$$

 \blacksquare \blacksquare_3 : \blacksquare follows from $\square \boxdot$, i.e., a dependent version of C4:

$$\forall (w:\mathcal{W})(P:\mathcal{P}_w)(Q:\mathcal{P}_w^P)(i:\square_w P). \square_w (w'. \square_{w'}^{\uparrow_{w'^i}} Q) \to \square_w^i Q$$

In addition, the two modalities \Box and \Box are required to satisfy the following properties that allow deriving one from other in some contexts:

 \blacksquare follows from \square :

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$$\forall (w: \mathcal{W})(P: \mathcal{P}_w)(Q: \mathcal{P}_w^P). \Box_w (w'. \forall (p: P w').Q w' p) \to \forall (i: \Box_w P). \Box_w^i Q$$

 \blacksquare follows from \boxdot :

$$\forall (w:\mathcal{W})(P,R:\mathcal{P}_w)(Q:\mathcal{P}_w^P)(i:\square_w P). \forall_w^{\sqsubseteq}(w') \forall (p:P\ w').Q\ w'\ p \to R\ w' \to \square_w^i Q \to \square_w R$$

C Properties of the Bar Space

- ⁷⁹⁰ The properties of bar spaces presented in Def. 21 allow deriving corresponding bars as follows:
- Intersection of bars: Given a bar predicate $B \in BarProp$ such that isect(B), and two bars
- ⁷⁹² $b_1, b_2 \in \mathcal{B}_B^w$ for some world w, then $b_1 \cap b_2 \in \mathcal{B}_B^w$.
- ⁷⁹³ = $w \triangleleft (b_1 \cap b_2) \in B$ follows from isect(B)
- The two other properties of bars follow from the definition of $b_1 \cap b_2$.
- ⁷⁹⁵ Union of bars: Given a bar predicate $B \in \text{BarProp such that union}(B)$, and a family of ⁷⁹⁶ bars $i \in \forall w' \supseteq w.w' \in b \to \mathcal{B}_B^{w'}$ for some world w, then $\cup(i) \in \mathcal{B}_B^w$.
- $w \triangleleft (\cup(i)) \in B$ follows from union(B)
- The two other properties of bars follow from the definition of $\cup(i)$.
- Top bar: Given a bar predicate $B \in BarProp$, such that top(B), then $T(w) \in \mathcal{B}_B^w$.
- $w \triangleleft (T(w)) \in B$ follows from top(B)
- the two other properties of bars follow from the definition of T(w).
- Sub-bar: Given a bar predicate $B \in BarProp$ such that sub(B), and a bar $b \in \mathcal{B}_B^w$ for some
- world w, then $b \downarrow_{w'} \in \mathcal{B}_B^{w'}$ for any $w' \supseteq w$.

- $w \triangleleft (b \downarrow_{w'}) \in B$ follows from sub(B)
- the two other properties of bars follow from the definition of $b \downarrow_{w'}$.
- As mentioned in Prop. 22, $\exists \mathcal{B}_B^w$, where $B \in \mathsf{BarSpace}$ and $w \in \mathcal{W}$, is an equality modality. We can derive the properties (see Def. 8) of this modality as follows:
- To prove \Box_1 , we need to derive $\exists \mathcal{B}_B^{w'}(P)$ from $\exists \mathcal{B}_B^w(P)$, where $w' \exists w$. As $\exists \mathcal{B}_B^w(P)$ gives us a bar $b \in \mathcal{B}_B^w$, we can instantiate our conclusion with $b \downarrow_{w'}$.
- To prove \Box_2 , we need to derive $\exists \mathcal{B}_B^w(Q)$ from $\exists \mathcal{B}_B^w(\lambda w'.P \ w' \to Q \ w')$ and $\exists \mathcal{B}_B^w(P)$. Our first assumption gives us a bar $b_1 \in \mathcal{B}_B^w$ and our second assumption gives us a bar $b_2 \in \mathcal{B}_B^w$. We can then instantiate our conclusion with $b_1 \cap b_2$.
- To prove $\Box_{\mathbf{3}}$, we need to derive $\exists \mathcal{B}_B^w(P)$ from $\exists \mathcal{B}_B^w(\lambda w' : \exists \mathcal{B}_B^{w'}(P))$. This assumption gives us a bar $b \in \mathcal{B}_B^w$ along with a function $i \in (\lambda w' : \exists \mathcal{B}_B^{w'}(P)) \in b$. We can then instantiate our conclusion with $\cup(i)$.
- To prove \Box_4 , we need to derive $\exists \mathcal{B}_B^w(P)$ from $\forall_w^{\sqsubseteq}(P)$. We can then instantiate our conclusion using T(w), and have to prove $P \in T(w)$, which trivially follows from $\forall_w^{\sqsubseteq}(P)$.
- conclusion using $\exists (w)$, and have to prove $P \in \exists (w)$, which trivially follows from $\forall_w^-(P)$. To prove \Box_5 , we need to derive P from $\exists \mathcal{B}_B^w(\lambda w'.P)$. This assumption gives us a bar b
- ⁸¹⁸ To prove \Box_5 , we need to derive P from $\exists \mathcal{B}_B^{\sim}(\lambda w, P)$. This assumption gives us a bar b⁸¹⁹ such that $(\lambda w', P) \in b$. From non $\mathscr{O}(B)$, we obtain a $w' \exists w$ such that $w' \in b$. We can then
- instantiate $(\lambda w'.P) \in b$ with w', and we obtain P since it does not depend on a world.

⁸²¹ D Classical Axioms

As mentioned in Cor. 17, we can prove the negation of LEM and LPO assuming a nontrivial, extendable, immutable and reflected set of choices C and a retrieving, choice-following equality modality \square_w . For LPO, we further assume that choices are Booleans, i.e., that TypeC from Def. 12 is Bool, that κ_0 is tt and that κ_1 is ff. This is due to the fact that LPO is stated in terms of a function in Nat \rightarrow Bool, which we instantiate with a choice sequence whose choice are restricted to Booleans to prove its negation. A consequence of this is that choices can be instantiated using free-choice sequences but not using references. A free choices sequence name δ occurring in world with a restriction constraining its choices to be Booleans will be of type Nat \rightarrow Bool because choices do not change over time. However, a reference name δ occurring in world with a restriction constraining its choices to be Booleans will be of type Nat \rightarrow \$Bool because its choices can change over time. However, we can prove the following alternative version of the negation of LPO (see not_lpo_qtbool.lagda for details):

 $\forall (w: \mathcal{W}). \neg w \vDash \Pi f: \mathsf{Nat} \rightarrow \mathsf{SBool}. \mathsf{Dist} \Sigma n: \mathsf{Nat}. \mathsf{f}(f n) + \Pi n: \mathsf{Nat}. \neg \mathsf{f}(f n)$

where $\zeta(T) := T = tt \in \S Bool.$

Furthermore, using similar than the ones presented in Lem. 16, we can prove the negation of Markov's Principles (see not_mp.lagda for details):

$$\forall (w: \mathcal{W}). \neg w \vDash \Pi f: \mathsf{Nat} \to \mathsf{Bool}. (\neg \Pi n: \mathsf{Nat}. \neg \uparrow (f n)) \to \downarrow \Sigma n: \mathsf{Nat}. \uparrow (f n)$$

In addition to requiring that choices are Booleans as for LPO, the proof also requires that mutable is always true (even if we had used *Sool* instead of *Bool*), which only holds about free-choice sequences but not references.

E Further Bars & Modalities

Let us present here another bar space, which allows capturing traditional Kripke semantics. Let Kripke := $\lambda w.\lambda o. \forall_w^{\exists} (w'.w' \in o)$, be the predicate that given a world w requires opens to

contain all extensions of w. This also form a bar space as proved in barKripke.lagda. According 829 to Prop. 22, this space leads in turn to an equality modality, which captures traditional 830 a Kripke semantics. However, as proved in kripkeCsNotRetrieving.lagda, this modality is not 831 retrieving when choices are free-choice sequences, and therefore does not allow deriving 832 the negation of classical axioms using Cor. 17. It is however retrieving when choices are 833 references because reference cells are always filled with a value. We can then prove that the 834 resulting equality modality along with references as choices satisfy all the properties required 835 for Cor. 17 (see modInstanceKripkeRefBool.lagda). 836